

Topology Control in Wireless Ad Hoc and Sensor Networks

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Topology Control (TC) is one of the most important techniques used in wireless ad hoc and sensor networks to reduce energy consumption (which is essential to extend the network operational time) and radio interference (with a positive effect on the network traffic carrying capacity). The goal of this technique is to control the topology of the graph representing the communication links between network nodes with the purpose of maintaining some global graph property (e.g., connectivity), while reducing energy consumption and/or interference that are strictly related to the nodes' transmitting range. In this article, we state several problems related to topology control in wireless ad hoc and sensor networks, and we survey state-of-the-art solutions which have been proposed to tackle them. We also outline several directions for further research which we hope will motivate researchers to undertake additional studies in this field.

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Additional Key Words and Phrases: Connectivity, energy consumption, topology control, sensor networks, wireless ad hoc networks

1. INTRODUCTION

The recent emergence of affordable, portable, wireless communication and computation devices and concomitant advances in the communication infrastructure have resulted in the rapid growth of mobile wireless networks. On one hand, this has led to the exponential growth of cellular networks which are based on the combination of wired and wireless technologies. On the other hand, this has renewed the interest of the scientific and industrial community in the more challenging scenario in which a group of mobile units equipped with radio

transceivers communicate without the assistance of any fixed infrastructure.

Networks composed of mobile, untethered units communicating with each other via radio transceivers, typically along multihop paths, have been called *ad hoc networks* in the literature.¹ Ad hoc networks can be used wherever a wired backbone is infeasible and/or economically inconvenient, for example, to provide communications during emergencies, special

¹Sometimes, ad hoc networks are called *packet radio networks* which is the name used in the early papers in the field.

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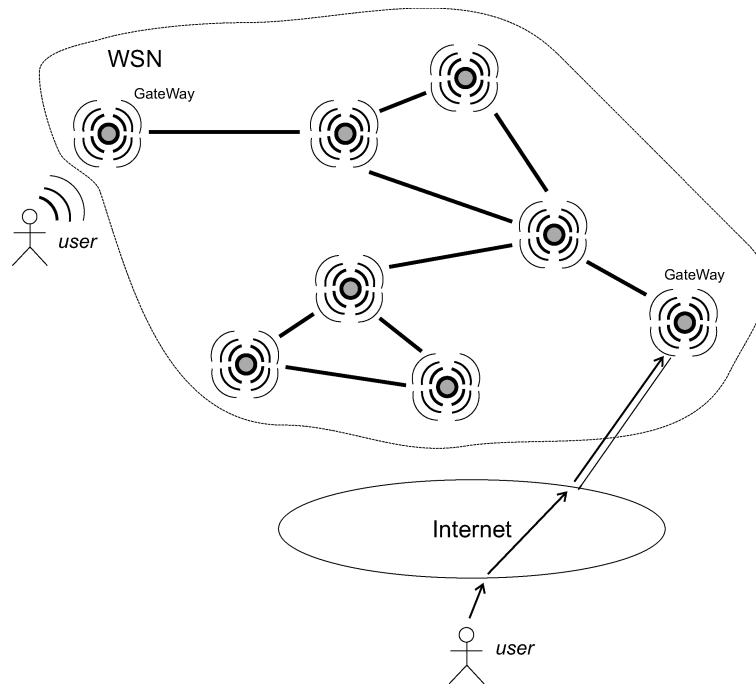


Fig. 1. Example of a wireless sensor network.

events (expos, concerts, etc.), or in hostile environments.

Wireless sensor networks (WSNs) are a special class of ad hoc networks. In a WSN, the interconnected units are battery-operated microsensors, each of which is integrated in a single package with low-power signal processing, computation, and a wireless transceiver. Sensor nodes collect the data of interest (e.g., temperature, pressure, soil makeup, etc.), and transmit them, possibly compressed and/or aggregated with those of neighboring nodes, to the other nodes. In this way, every node in the network acquires a global view of the monitored area that can be accessed by the external user connected to the WSN through one or more gateway nodes (see Figure 1). Potential applications of sensor networks abound; they can be used to monitor remote and/or hostile geographical regions, to trace animals movement, to improve weather forecast, and so on. Examples of scenarios where WSN can be used are described in Estrin et al. [1999], Heinzelman et al. [1999], Khan et al. [2000], Mainwaring

et al. [2002], Pottie and Kaiser [2000], Sadler et al. [2004], Schwiebert et al. [2001], Srivastava et al. [2001], Steere et al. [2000], and Szewczyk et al. [2004].

The following aspects that have to be carefully taken into account in the design stage are peculiar to wireless ad hoc networks.

- *Energy conservation.* Contrary to the case of wired networks, units in ad hoc networks are typically equipped with limited energy supplies. Hence, one of the primary goals of the design is to use this limited energy as efficiently as possible. Energy efficiency is especially important in WSNs where replacing/refilling sensor batteries is, in general, infeasible. If energy conservation techniques are used at different levels of the wireless architecture, the functional lifetime of both individual units and the network can be extended considerably.
- *Limited bandwidth.* Typically wireless multihop networks are characterized by a limited bandwidth available

to the nodes. Although the theoretical bandwidth in industrial standards such as IEEE 802.11 can be as high as 54Mb/sec [IEEE 1999], the situation is far worse in practical situations mainly because of the radio interference caused by simultaneous communications. Thus, a major problem in the design of ad hoc networks is to keep the network traffic carrying capacity at a reasonable level even in the presence of dense node deployments.

- *Unstructured and time-varying network topology.* Nodes in the network may, in principle, be arbitrarily placed in the deployment region; hence, the graph representing the communication links between the nodes is usually unstructured. Furthermore, due to node mobility and/or failure, the network topology may vary with time. As a consequence, determining the appropriate value of fundamental network parameters (e.g., the critical transmitting range for connectivity, see Section 5.1) is a difficult task.
- *Low-quality communications.* Communication on wireless channels is, in general, much less reliable than in wired channels. Furthermore, the quality of communication is strongly influenced by environmental factors which can be time-varying. Considering that ad hoc networks, and especially WSNs, are likely to be deployed in hostile environments, low communication quality is to be expected in general, with nonnegligible off-service time intervals.

In the case of WSNs, the following aspects must also be considered.

- *Operation in hostile environments.* In many scenarios, WSNs are expected to operate in hostile environments so sensors must be explicitly designed to work under extreme conditions which may make individual unit failure a likely event. Hence, resilience to sensor faults must be explicitly addressed at different network layers.
- *Data processing.* Given the energy constraints and the expected poor commu-

nication quality, sensed data must be compressed and/or aggregated with data of neighboring sensors before sending them to the gateway node(s).

- *Scalability.* Depending on the scenario considered, WSNs might be composed of several thousands of sensors. Thus, the scalability of the proposed protocols is an important issue.

Several solutions have been proposed in the literature that address at least some of the issues raised above. In particular, great efforts have been devoted to the design of energy-efficient and mobility-resilient routing, broadcast, and multicast protocols [Basagni et al. 1999; Gerla and Tsai 1995; Ko and Vaidya 1998; Michail and Ephremides 2003; Murthy and Garcia-Luna-Aceves 1996; Papadimitriou and Georgiadis 2004; Rajaraman 2002; Seada et al. 2004].

Routing and broadcast protocols are usually concerned with energy-efficient message delivery on a given communication graph which is considered as an input to the protocol. However, contrary to the case of wired networks, the network topology in wireless networks is not fixed and can be changed by varying the nodes' transmitting range. So, further energy can be saved if the network topology used to route/broadcast messages is energy-efficient itself. The goal of *topology control* is to dynamically change the nodes' transmitting range in order to maintain some property of the communication graph (e.g., connectivity), while reducing the energy consumed by node transceivers (which is strictly related to the transmitting range). Since transceivers are one of the primary sources of energy consumption in the wireless unit, especially in WSNs, topology control mechanisms are fundamental to achieving a good network energy efficiency.

Besides reducing energy consumption, topology control has the positive effect of reducing contention when accessing the wireless channel. In general, when the nodes' transmitting ranges are relatively short, many nodes can transmit simultaneously without interfering with each

other, and the network capacity is increased. Ideally, the nodes' transmitting range should be set to the minimum value such that the graph that represents the communication links between units is connected. How to compute this value under different hypotheses on the initial node distribution, presence, and type of mobility, and so on, is the subject of this survey.

Before proceeding, some observations regarding terminology are in order. The term topology control has been used with at least two different meanings in the ad hoc and sensor networks literature. For instance, several authors consider as topology control techniques aimed at superimposing a hierarchy on an otherwise flat network organization in order to reduce, typically, energy consumption. This is the case, for instance, with clustering algorithms which select some of the nodes in the network as clusterheads whose purpose is to optimize energy and communication efficiency in their cluster. Although, in a sense, clustering algorithms can be seen as a way of controlling the network topology, they cannot be classified as topology control mechanisms according to the informal definition previously presented since the transmit power of the nodes is usually not modified by a clustering algorithm.

Also, the terms power control and topology control are often confused with each other in the current literature. In our view, we classify as power control those techniques that, by acting on the transmit power level of the nodes, aim at optimizing a single wireless transmission. Although this transmission might, in general, be multihop, the focus of power control is on the efficiency of a single (possibly multihop) wireless channel. Again, this feature of power control does not fulfill our informal definition of topology control in which nodes adjust their transmitting range in order to achieve a certain network-wide target goal (e.g., network connectivity).

The rest of this article is organized as follows. In Section 2, we introduce a simplified but widely accepted model of a wireless ad hoc network which will be used in the rest of the article. In Section 3, we propose a taxonomy to classify the many

approaches to the topology control problem that has appeared in the literature. In Section 4, we review the probabilistic theories that have been used in the derivation of theoretical results concerning topology control. In Section 5, we introduce several problems related to topology control in stationary networks, and we survey state-of-the-art solutions which have been proposed to tackle them. In Section 6, we will discuss how node mobility affects the picture drawn in Section 5. Finally, in Section 7, we outline several directions for further research.

2. A WIRELESS AD HOC NETWORK MODEL

In this section, we introduce a simplified but widely accepted model of a wireless ad hoc network which will be used in the definition of the various problems related to topology control considered in the literature.

The node configuration of a d -dimensional mobile wireless ad hoc network with $d = 1, 2, 3$, is represented by a pair $M_d = (N, P)$, where N is the set of nodes, with $|N| = n$, and $P : N \times T \rightarrow [0, l]^d$, for some $l > 0$, is the placement function. The placement function assigns to every element of N and to any time $t \in T$ a set of coordinates in the d -dimensional cube of side l , representing the node's physical position at time t . The choice of limiting the admissible physical placement of nodes to a bounded region of \mathbb{R}^d of the form $[0, l]^d$, for some $l > 0$, is realistic and eases the treatment of some of the problems considered in the following.

Node $i \in N$ is said to be *stationary* if its physical placement does not vary with time. If all the nodes are stationary, the network is said to be stationary, and function P can be represented simply as $P : N \rightarrow [0, l]^d$.

A *range assignment* for a d -dimensional node configuration $M_d = (N, P)$ is a function $RA : N \rightarrow (0, r_{max}]$ that assigns to every element of N a value in $(0, r_{max}]$, representing its transmitting range. Parameter r_{max} is called the *maximum transmitting range* of the nodes in the

network and depends on the features of the radio transceivers equipping the mobile nodes. A common assumption is that all the nodes are equipped with transceivers having the same features; hence, we have a single value of r_{max} for all the nodes in the network.

It is known [Rappaport 2002] that the power p_i required by node i to correctly transmit data to node j must satisfy inequality

$$\frac{p_i}{\delta_{i,j}^\alpha} \geq \beta, \quad (1)$$

where $\alpha \geq 2$ is the *distance-power gradient*, $\beta \geq 1$ is the *transmission quality* parameter, and $\delta_{i,j}$ is the Euclidean distance between the nodes. While the value of β is usually set to 1, the value of α depends on environmental conditions. In the ideal case, we have $\alpha = 2$; however, α is typically 4 in realistic situations. A value of α in the interval $[2, 6]$ is commonly accepted. Given the previous formula, we can define the *energy cost* of a range assignment RA as $c(RA) = \sum_{i \in N} (RA(i))^\alpha$.

Formula (1) holds for free-space environments with nonobstructed line of sight, and it does not consider the possible occurrence of reflections, scattering, and diffraction caused by buildings, terrain, and so on. Although more complicated formulas of the radio signal attenuation with distance are known, such as that recently derived in Bruck et al. [2002], Inequality (1) is widely accepted in the ad hoc network community.

Note that Inequality (1) accounts for only the power consumed by the sender node (transmit power). In practice, in a radio communication, a nonnegligible amount of energy is also consumed at the receiver node to receive and decode the transmitted signal. Most current literature does not account for the receiver energy, and the design of topology control protocols based on more realistic energy models is one of the main open issues in the field (see Section 7).

Given a node configuration $M_d = (N, P)$ and a range assignment RA , the *communication graph* induced by RA on M_d at time t is defined as the directed graph

$G_t = (N, E(t))$, where the directed edge $[i, j]$ exists if and only if $RA(i) \geq \delta_{P(i,t), P(j,t)}$. In other words, the directed edge $[i, j]$ exists if and only if nodes i and j are at a distance of at most $RA(i)$ at time t . In this case, node j is said to be a *neighbor* of i . A range assignment RA is said to be *connecting at time t* if the resulting communication graph at time t is strongly connected.² If the network is stationary, we simply say that the range assignment RA is connecting. A range assignment in which all the nodes have the same transmitting range r , for some $0 < r \leq r_{max}$, is called *r -homogeneous* range assignment.³ Observe that the communication graph generated by a homogeneous range assignment can be considered as undirected since $[i, j] \in E(t) \Leftrightarrow [j, i] \in E(t)$.

In general, the range assignment may vary with time in order to ensure target properties (e.g., strong connectivity, a given network diameter $h < n$) of the communication graph. Hence, a sequence of range assignments $RA_{t_1}, RA_{t_2}, \dots$ can be defined, where RA_{t_i} is the range assignment at time t_i , and the transition between range assignments is determined by the topology control mechanism.

The communication graph as defined here is essentially the *point graph* model introduced in Sen and Huson [1996], but it is more often called the *unit disk graph* model in the topology control (TC) literature. If node positions are chosen according to some probability distribution, the point graph model coincides with the concept of Random Geometric Graph (RGG) which is a generalization of the notion of Random Graph introduced in the applied probability community (see Section 4 for details).

The main weakness of the point graph model is the assumption that the radio coverage area is a perfect circle. This assumption is quite realistic in open-air flat

²A directed graph $G = (N, E)$ is strongly connected if and only if, for any two nodes $u, v \in N$, there exists a directed path from u to v in G .

³When the value of r is not relevant, the r -homogeneous range assignment is simply called the homogeneous range assignment.

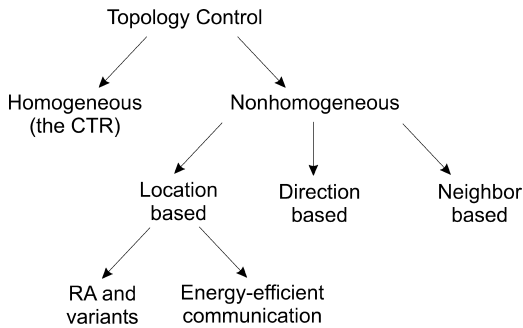


Fig. 2. A taxonomy of topology control techniques.

environments, but it is critical in indoor or urban scenarios where the presence of objects, walls, buildings, and so on, renders the radio coverage area extremely irregular. Further, the area and shape of the radio coverage is influenced by weather conditions and by the interference with preexisting infrastructure (e.g., power lines, base stations, etc.) Including all these details in the network model would make it extremely complicated and scenario-dependent, hampering the derivation of meaningful and sufficiently general analytical results. For this reason, the point graph model described earlier, although quite simplistic, is widely used in the analysis of ad hoc networks.

3. A TAXONOMY OF TOPOLOGY CONTROL

In this section, we try to organize into a coherent taxonomy the various approaches to the topology control problem as it has appeared in the literature.

Our taxonomy is depicted in Figure 2. The first distinction is between *homogeneous* and *nonhomogeneous* approaches. In the former case, which is the simpler (and easier to analyze) type of TC, nodes are assumed to use the same transmitting range, and the topology control problem reduces to the one of determining the minimum value of r such that a certain network-wide property is satisfied (the Critical Transmitting Range). In the latter case, nodes are allowed to choose different transmitting ranges (provided they do not exceed the maximum range).

Nonhomogeneous topology control is classified into three categories, depend-

ing on the type of information that is used to compute the topology. In location-based approaches, exact node positions are known. This information is either used by a centralized authority to compute a set of transmitting range assignments which optimizes a certain measure (this is the case of the Range Assignment problem and its variants), or it is exchanged between nodes and used to compute an “almost optimal” topology in a fully distributed manner (this is the case for protocols used for building energy-efficient topologies for unicast or broadcast communication). In direction-based approaches, it is assumed that nodes do not know their position, but they can estimate the relative direction of each of their neighbors. Finally, in neighbor-based techniques, nodes are assumed to know only the ID of the neighbors and are able to order them according to some criterion (e.g., distance, or link quality).

Besides classifying topology control approaches based on the constraints we put on the range assignment (homogeneous or nonhomogeneous), and on the type of information which is available to the network nodes, we can also distinguish the various approaches proposed in the literature based on the properties of the network topology resulting from the application of topology control techniques.

Most of the approaches presented in the literature are concerned with building and maintaining a *connected* network topology as network partitioning is highly undesirable. More recently, some authors have considered the problem of building a k -connected network topology (with $k > 1$), that is, a topology in which there exists at least k distinct paths between any two network nodes. Guaranteeing k -connectivity of the communication graph is fundamental in all those applications in which a certain degree of *fault-tolerance* is needed: since there exist at least k paths between any two network nodes, network connectivity is guaranteed in the presence of up to $k - 1$ node failures. Other authors have recently also considered the topology control problem in a context (typical of wireless sensor

networks) in which nodes alternate between active and sleeping times, and the goal is to build a network topology such that the subnetwork composed of the active nodes is connected at any time (see Section 5.1.4).

4. PROBABILISTIC TOOLS

Some of the analytical results presented in this article are based on a probabilistic approach. In this Section, we survey the probabilistic theories that have been used to derive them.

The main difficulty that arises in the probabilistic analysis of wireless ad hoc networks is that the well-established theory of random graphs [Bollobás 1985; Palmer 1985] cannot be used. In fact, a fundamental assumption in this model is that the probabilities of edge occurrence in the graph are independent which is not the case in wireless ad hoc networks. As an example, consider three nodes i, j, k such that $\delta_{i,j} < \delta_{i,k}$. With common wireless technologies that use omni-directional antennas, and disregarding the effect of shadowing and fading on radio signal propagation, if i has a link to k , then it also has a link to j . Hence, the occurrences of edges (i, j) and (i, k) are correlated.

In order to circumvent this problem, Chlamtac and Faragó [1999] introduced the *Random Network* (RN) model as a generalization of the uniform random graph model in which graphs are selected according to a more general probability distribution. We recall that in the uniform random graph model, each element of a given class of graphs with n vertices is assigned an equal probability of being chosen. Examples of uniform models are random graphs with a given number m , with $0 \leq m \leq \binom{n}{2}$, of edges, random trees, random k -regular graphs, and so on. In the RN model, graphs can be chosen according to an arbitrary nondegenerate distribution, where a nondegenerate distribution is a distribution that does not concentrate (in a probabilistic sense) on a class of graphs of relatively small size. Based on the RN model and using the theory of Kolmogorov complexity, Chlamtac and Faragó [1999]

analyzed the performance of a randomized distributed algorithm aimed at connecting clusterheads in a Virtual Cellular Architecture. The authors claim that the RN model, relying on an arbitrary nondegenerate probability distribution, can account for correlations between edges which were not allowed in the uniform model. Unfortunately, the actual probability distribution of the graphs describing ad hoc networks might be degenerate. In fact, the actual distribution is the uniform distribution over the class of point graphs which is degenerate if the size of the class of point graphs is relatively small compared to the class of all possible graphs. Since the class of point graphs has not yet been characterized, its size is unknown and determining whether this distribution is degenerate or not is still an open problem.

A more recent theory which is still in development is the theory of *geometric random graphs* (GRG). In the theory of GRG, a set of n points is distributed according to some density in a d -dimensional region R , and some property of the resulting node placement is investigated. For example, the longest nearest-neighbor link [Penrose 1999a], the longest edge of the Euclidean Minimum Spanning Tree (MST) [Penrose 1999c; Penrose 1997], and the total cost of the MST have been investigated [Aldous and Steele 1992; Steele 1988; Yukich 2000]. For a survey of GRG, the reader is referred to Diaz et al. [2000]. Recently, several papers [Bettstetter 2002b; Blough et al. 2002; Panchapakesan and Manjunath 2001; Santi 2005; Wan and Yi 2004; Yi et al. 2003; Yi and Wan 2005] have used the theory of GRG to analyze fundamental properties (typically, connectivity) of wireless ad hoc networks.

Two others theories have been used in the probabilistic analysis of ad hoc networks: the theory of *continuum percolation* and the *occupancy theory*.

In the theory of continuum percolation [Meester and Roy 1996], nodes are assumed to be distributed with Poisson density λ in \mathbb{R}^2 , and two nodes are connected to each other if the distance between them is at most r . It has been proven that, for each $\lambda > 0$, there exists at most one

infinite-order component with high probability. However, the existence of an infinite-order component is not sufficient to ensure the connectivity of the network. In fact, there could exist (infinitely many) nodes which do not belong to the giant component, thus leading to a disconnected communication graph. Hence, the quality of connectivity is related to the fraction θ of nodes belonging to the giant component [Janson et al. 1993] which, in turn, depend on the *percolation probability*. The percolation probability is the probability that an arbitrary node belongs to a connected component of infinite order. The main result of the theory of continuum percolation is that there exists a finite, positive value λ_c of λ , called *critical density* under which the percolation probability is zero and above which it is nonzero. However, no explicit expression of the percolation probability is known to date. The theory of continuum percolation have been used in Dousse et al. [2002], and Gupta and Kumar [1998] to analyze the connectivity of ad hoc networks.

In the occupancy theory [Kolchin et al. 1978], it is assumed that n balls are thrown independently, at random, into C cells. The allocation of balls into cells can be characterized by means of random variables describing some property of the cells. The occupancy theory is aimed at determining the probability distribution of such variables as n and C grow to infinity (i.e., the *limit distribution*). The most studied random variable is the number of empty cells after all the balls have been thrown, which we denote $\mu(n, C)$. Of course, the limit distribution of $\mu(n, C)$ depends on the relative magnitude of n and C , that is, on the asymptotic behavior of $\rho = n/C$. Depending on the asymptotic behavior of ρ , five domains such that $n, C \rightarrow \infty$ for which the limit distribution of $\rho(n, C)$ is different have been determined. Depending on the domain, the limit distribution can be either Poisson or Normal with different parameters. The occupancy theory can be used to analyze connectivity in ad hoc networks by subdividing the deployment region R into equal subregions (cells) of size $\approx r^d$ and by determining under which

conditions all the cells are filled with at least one node (ball). This technique has been used in Santi and Blough [2003, 2002].

5. STATIONARY NETWORKS

In this Section, we will consider several problems related to topology control in stationary ad hoc networks. The generalization of some of these problems to the more complicated scenario of mobile networks is presented in Section 6.

5.1. Homogeneous Topology Control

First, we consider the following problem concerning homogeneous range assignments:

Definition 5.1 CTR (Critical Transmitting Range). Suppose n nodes are placed in $R = [0, l]^d$, with $d = 1, 2, 3$. What is the minimum value of r such that the r -homogeneous range assignment for this placement is connecting?

The minimum value of r such that the r -homogeneous range assignment is connecting is known as the *critical transmitting range for connectivity* in the literature.

The motivation for studying CTR stems from the fact that, in many situations the dynamically-adjusting node transmitting range is not feasible. In fact, inexpensive radio transceivers might not allow the transmission range to be adjusted [Ramanathan and Rosales-Hain 2000]. In this scenario, setting the same transmitting range r for all the units is a reasonable choice, and the only option to reduce power consumption and increase network capacity is to set r to the minimum possible value that ensures connectivity.

Characterizing the critical transmitting range helps the system designer to answer fundamental questions such as given a number of nodes n to be deployed in a region R , what is the minimum value of the transmitting range that ensures network connectivity? Conversely, for a given transmitter technology, how many nodes

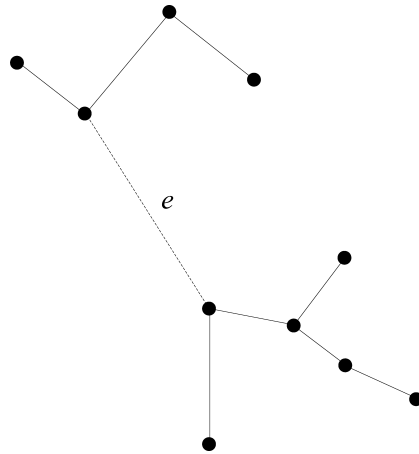


Fig. 3. The CTR for connectivity is the length of the longest edge of the Euclidean MST (edge e).

must be distributed over a given region to ensure network connectivity?

The solution to CTR depends on the information we have about the physical placement of nodes. If the node placement is known in advance, the critical transmitting range is the length of the longest edge of the Euclidean MST [Penrose 1997; Sanchez et al. 1999] built on the nodes (see Figure 3). Unfortunately, in many realistic scenarios of ad hoc or sensor networks, the node placement is not known in advance. For example, in WSNs, sensors could be spread from a moving vehicle (airplane, ship, or spacecraft). If node positions are not known, the minimum value of r ensuring connectivity in all possible cases is $r \approx l\sqrt{d}$, which accounts for the fact that nodes could be concentrated at opposite corners of the deployment region. However, this scenario is unlikely in most realistic situations. For this reason, CTR has been studied under the assumption that nodes are distributed in R according to some probability distribution. In this case, the goal is to characterize the minimum value of r which provides connectivity with high probability that is, with a probability that converges to 1 as the number of nodes (or the side l of the deployment region) increases.

5.1.1. Dense Networks. The probabilistic theory that is most suited to the analysis of CTR is the theory of geometric random graphs (see Section 4). Since the critical transmitting range coincides with the length of the longest edge in the Euclidean MST, probabilistic solutions to CTR can be derived by using results concerning the asymptotic distribution of the longest MST edge Penrose [1999c, 1997]. This approach has been used in Panchapakesan and Manjunath [2001] to prove that, under the hypothesis that nodes are uniformly distributed in $[0, 1]^2$, the critical transmitting range for connectivity with high probability is $r = c\sqrt{\frac{\log n}{n}}$ for some constant $c > 0$. The characterizations of the critical range for connectivity in one- and three-dimensional networks can be obtained by combining some results derived in Dette and Henze [1989], Holst [1980], and Penrose [1999c, 1997, 1999a] and are as follows. In one-dimensional networks, it is shown that if n nodes are distributed uniformly at random in $[0, 1]$, then the critical range for connectivity with high probability is $r = \frac{\log n}{n}$. In three-dimensional networks, it is shown that if n nodes are distributed uniformly at random in $[0, 1]^3$, then the critical range for connectivity with high probability is

$$r = \sqrt[3]{\frac{\log n - \log \log n}{n\pi}} + \frac{3}{2} \cdot \frac{1.41 + g(n)}{\pi n},$$

where $g(n)$ is an arbitrary function such that $\lim_{n \rightarrow \infty} g(n) = +\infty$.

A notable result of the theory of GRG is that, under the assumption of uniformly distributed points and $d \geq 2$, the longest nearest-neighbor link and the longest MST edge have the same value (asymptotically, as $n \rightarrow \infty$) [Penrose 1999c]. In terms of the resulting communication graph, this means that connectivity occurs (asymptotically) when the last isolated node disappears from the graph. This result reveals an interesting analogy with non-geometric random graphs which display the same behavior (known as the *giant component* phenomenon).

Although interesting, the theory of GRG can be used only to derive results concerning dense ad hoc networks. In fact, a standard assumption in this theory is that the deployment region R is fixed, and the asymptotic behavior of r as n grows to infinity is investigated, that is, the node density is assumed to grow to infinity. A similar limitation applies to the model of Gupta and Kumar [1998]. In their case, R is the disk of unit area, and the authors show that if the units' transmitting range is set to $r = \sqrt{\frac{\log n + c(n)}{\pi n}}$, then the resulting network is connected with high probability if and only if $c(n) \rightarrow \infty$. This result is obtained making use of the theory of continuum percolation Meester and Roy [1996] which is also used in Dousse et al. [2002] to investigate the connectivity of hybrid ad hoc networks in which base stations can be used to improve connectivity.

5.1.2. Sparse Networks. Given the preceding discussion, the applicability of theoretical results concerning connectivity in ad hoc networks to realistic scenarios could be impaired. In fact, it is known that real wireless networks cannot be too dense, due to the problem of spatial reuse: when a node is transmitting, it interferes with all the nodes within its interference range which is typically larger than the transmitting range. If the node density is very high, the level of interference is very high as well, and the overall network capacity is compromised [Gupta and Kumar 2000].

In order to circumvent this problem, other authors have characterized the critical transmitting range in the more general model in which the side l of the deployment region is a further parameter, and n and r can be arbitrary functions of l . In this case, the critical transmitting range is analyzed asymptotically as $l \rightarrow \infty$. Note that, using this model, the node density might either converge to 0 or to a constant $c > 0$, or diverge as the size of the deployment region grows to infinity. Thus, results based on this framework can be applied to dense as well as sparse ad hoc networks.

The critical transmitting range for connectivity in sparse ad hoc networks have been analyzed in Santi et al. [2001] and Santi and Blough [2003, 2002] using the occupancy theory. It has been proven that, under the assumption that n nodes are distributed uniformly at random in $R = [0, l]^d$, the r -homogeneous range assignment is connecting with high probability if $r = l \sqrt[d]{c \frac{\log l}{n}}$ for some constant $c > 0$. The authors also prove that, if $r \in O(l \sqrt[d]{\frac{1}{n}})$, then the r -homogeneous range assignment is not connected with high probability.

5.1.3. More Practical Characterizations of the CTR. Besides analytical characterization, the critical transmitting range has been investigated from a more practical viewpoint. In Narayanaswamy et al. [2002], the authors present a distributed protocol, called COMPOW, that attempts to determine the minimum common transmitting range needed to ensure network connectivity. They show that setting the transmitting range to this value has the beneficial effects of maximizing network capacity, reducing the contention to access the wireless channel, and minimizing energy consumption. Bettstetter [2002a] analyzes network connectivity under the assumption that some of the nodes have transmitting range r_1 , and the remaining ones have transmitting range $r_2 \neq r_1$. Santi and Blough [2003] investigate through simulation the trade-off between the transmitting range and the size of the largest connected component in the communication graph. The experimental results presented in Santi and Blough [2003] show that, in sparse two and three-dimensional networks, the transmitting range can be reduced significantly if weaker requirements on connectivity are acceptable: halving the critical transmitting range, the largest connected component has an average size of approximately $0.9n$. This means that a considerable amount of energy is spent to connect relatively few nodes. This behavior is not displayed in the case of

one-dimensional networks in which a small decrease of the transmitting range with respect to the critical value split the network into at least two connected components of moderate size. Quite interestingly, the experimental analysis of Santi and Blough [2003] is coherent with the theoretical result of the theory of GRG (which, we recall, can be applied only to dense ad hoc networks) concerning the giant component phenomenon occurring in two and three-dimensional networks. This seems to indicate that, in the case of sparse ad hoc networks, connectivity also occurs (asymptotically) when the last isolated node disappears from the communication graph.

5.1.4. Other Characterizations of the Critical Range. The critical transmitting range for connectivity has also been studied under the assumption of nonuniform node distribution. In particular, Penrose [1998] has characterized the critical range when nodes are distributed according to the two-dimensional Normal distribution, and to arbitrary probability density functions [Penrose 1999b] (provided certain technical conditions are satisfied).

Other authors have considered the critical transmitting range for k -connectivity of the communication graph,⁴ that is, the critical range for ensuring a certain degree of fault-tolerance in the network. By exploiting a result due to Penrose [1999a], showing that when the minimum node degree in a GRG becomes k , the graph becomes k -connected with high probability. (this result holds only for two- and three-dimensional networks), Wan and Yi [2004] have derived the following characterization of the critical range for k -connectivity in two-dimensional networks with uniformly distributed points

$$r = \sqrt{\frac{\log n + (2k - 3) \log \log n + f(n)}{\pi n}},$$

where $k > 1$ is an arbitrary constant, and $f(n)$ is a function such that

⁴We recall that a graph is k -connected if removing any $k - 1$ nodes does not disconnect the graph.

$\lim_{n \rightarrow \infty} f(n) = +\infty$. The problem of ensuring k -connectivity in ad hoc networks has been studied also in Bettstetter [2002b].

Another model considers the problem of ensuring connectivity in networks with Bernoulli nodes. In this model, it is assumed that, at any instant of time, any node in the network is active with a certain constant probability $p > 0$. Since node activation periods are independent events, the node active/inactive status can be modeled by a Bernoulli random variable of parameter p . The study of ad hoc networks with Bernoulli nodes finds its motivation in the fact that, in many application scenarios (especially for WSNs), nodes alternately shut down their radio to save energy. In this context, it is important that the subnetwork composed of active nodes is connected (*active connectivity*). Furthermore, it is desirable that any inactive node is adjacent to at least one active node (*active domination*) so that it can quickly propagate alarm messages in case an anomalous condition is detected (we recall that inactive nodes still sense the environment – it is only the radio that is turned off). Denoting with $G(n, r)$ the GRG graph with n nodes and transmitting range r , with $A(n, r, p)$ the subgraph of $G(n, r)$ induced by the set of active nodes, and with $I(n, r, p)$ the subgraph of $G(n, r)$ obtained by removing all edges whose endpoints are both inactive nodes, active connectivity is obtained when $A(n, r, p)$ is connected, and active domination when $I(n, r, p)$ is connected. By combining the results presented in Yi et al. [2003] and in Yi and Wan [2005], it can be shown that with high probability the critical range for connectivity in $A(n, r, p)$ and in $I(n, r, p)$ under the assumption of uniformly distributed nodes is the same, and it equals

$$r = \sqrt{\frac{\log n + f(n)}{\pi p n}},$$

where $f(n)$ is a function such that $\lim_{n \rightarrow \infty} f(n) = +\infty$.

Another problem considered is the characterization of the critical coverage range.

Network coverage is defined as follows: every node covers a circular area of radius r_c , and the monitored area R is *covered* if every point of R is at a distance of at most r_c from at least one node. The goal is to find the critical value of r_c that ensures coverage with high probability. This problem has been investigated in Philips et al. [1989] for the case of nodes distributed in a square with a side of length l according to a Poisson process of fixed density. The critical transmitting and coverage range for Poisson distributed points on a line of length l is derived in Piret [1991].

5.2. Nonhomogeneous Topology Control

In the previous Section, we have analyzed the problem of determining a minimum common value of the transmitting range that generates a connected communication graph under the hypothesis that only probabilistic information about node positions is available. In this Section, we survey the considerable body of results obtained for the more general problem in which nodes are allowed to have different transmitting ranges. As in the case of homogeneous topology control, in this Section, we only report results concerning the stationary case. Nonhomogeneous topology control techniques for mobile networks will be discussed in Section 6.

5.2.1. The Range Assignment Problem. The problem of assigning a transmitting range to nodes in such a way that the resulting communication graph is strongly connected and the energy cost is minimum is called the *range assignment problem* (RA), and it was first studied in Kirousis et al. [2000]. More formally, the problem is defined as follows.

Definition 5.2 RA. Let $N = \{u_1, \dots, u_n\}$ be a set of points in the d -dimensional space ($d = 1, 2, 3$), denoting the positions of the network nodes. Determine a connecting range assignment RA such that $c(RA) = \sum_{u_i \in N} (RA(u_i))^\alpha$ is minimum.

The computational complexity of RA has been analyzed in Kirousis et al. [2000]. The problem is solvable in polynomial

time (more specifically, in time $O(n^4)$) in the one-dimensional case (i.e., nodes in a line), while it is shown to be NP-hard in the case of three-dimensional networks. In a later paper, Clementi et al. [1999] have shown that RA is NP-hard also in the two-dimensional case. Thus, computing the optimal range assignment in two and three-dimensional networks is a virtually impossible task. However, the optimal solution can be approximated within a factor of 2 using the range assignment generated as follows [Kirousis et al. 2000]. Let T be the MST built on N , where the weight of edge (u_i, u_j) is the power δ_{u_i, u_j}^α needed to transmit a message between u_i and u_j ; for every node $u_i \in N$, define $RA(u_i)$ as the maximum of distances δ_{u_i, u_j} , for all nodes u_j which are neighbors of u_i in T . In the following, we will denote this range assignment with RA_{MST} .

Several variants of RA have been considered in the literature. In Clementi et al. [1999, 2000a, 2000b] and Kirousis et al. [2000], the focus is on a constrained version of RA in which the additional requirement of having a communication graph with diameter at most h , for some constant $h < n$, is imposed on the communication graph. However, we believe this version of the problem is less interesting from a practical point of view. In fact, imposing a topology which is too connected would often cause communication interference to occur even between nodes that are far apart, thus decreasing the network capacity. This phenomenon is confirmed by theoretical as well as experimental results [Grossglauser and Tse 2001; Gupta and Kumar 2000; Li et al. 2001] which show that the communication graph in wireless ad hoc networks should be as sparse as possible, while preserving connectivity.

Two important variants of RA which have been recently studied are based on the concept of *symmetry* of the communication graph. In general, the communication graph generated by a range assignment is not symmetric, that is, it might contain unidirectional links. Although implementing wireless unidirectional links is technically feasible (see Bao and Garcia-Luna-Aceves [2001],

Kim et al. [2001], Pearlman et al. [2000], Prakash [2001], and Ramasubramanian et al. [2002] for unidirectional link support at different layers), the actual advantage of using unidirectional links is questionable. For example, in Marina and Das [2002] the authors have shown that the high overhead needed to handle unidirectional links in routing protocols outweighs the benefits that they can provide, and better performance can be achieved by simply avoiding them. The high overhead is due to the fact that low-level protocols, such as the MAC (Medium Access Control) protocol, are naturally designed to work under the symmetric assumption. For instance, the MAC protocol defined in the IEEE 802.11 standard [IEEE 1999] is based on RTS - CTS message exchange: when node u_i wishes to send a message to one of its neighbors u_j (at this level, communication is only between immediate neighbors), it sends a RTS (Request To Send) to u_j , and waits for a CTS (Clear To Send) message from u_j . If the CTS message is not received within a certain time, then message transmission is aborted, and it is tried again after a backoff interval. Hence, for the protocol to work, u_i must be within the transmitting range of u_j , that is, the range assignment must be symmetric.

The symmetric range assignment problem have been independently defined and studied in Blough et al. [2002] and Calinescu et al. [2002]. In Blough et al. [2002], two different symmetric restrictions of RA are considered.

Definition 5.3 Symmetric Subgraph. Let $G = (N, E)$ be an arbitrary communication graph. The symmetric subgraph of G , denoted G_S , is obtained from G by deleting all the unidirectional links, that is, all the edges such that $(u, v) \in E$, but $(v, u) \notin E$.

Definition 5.4 WSRA. Let $N = \{u_1, \dots, u_n\}$ be a set of points in the d -dimensional space ($d = 1, 2, 3$), denoting the positions of the network nodes. Determine a connecting range assignment RA such that the symmetric subgraph G_S of the communication graph resulting from RA is

connected, and $c(RA) = \sum_{u_i \in N} (RA(u_i))^\alpha$ is minimum.

Definition 5.5 SRA. Let $N = \{u_1, \dots, u_n\}$ be a set of points in the d -dimensional space ($d = 1, 2, 3$), denoting the positions of the network nodes. A range assignment RA is said to be symmetric if it generates a communication graph which contains only bidirectional links, that is, $RA(u_i) \geq \delta_{u_i, u_j} \Leftrightarrow RA(u_j) \geq \delta_{u_i, u_j}$. Determine a connecting symmetric range assignment RA such that $c(RA) = \sum_{u_i \in N} (RA(u_i))^\alpha$ is minimum.

In SRA (Symmetric Range Assignment), it is required that the communication graph contains only bidirectional links. This requirement is weakened in WSRA (Weakly Symmetric Range Assignment) in which unidirectional links may exist, but they are not essential for connectivity. The motivation for studying WSRA stems from the observation that what is really important in the design of ad hoc networks is the existence of a connected backbone of symmetric edges. In other words, there could exist further edges for which symmetry is not guaranteed, but these links can be ignored without compromising network connectivity.

In Blough et al. [2002], it is shown that SRA remains NP-hard in two and three-dimensional networks. Hence, imposing (weak) symmetry does not reduce the computational complexity of the problem. The authors of Blough et al. [2002] have also investigated the relations between the energy cost of the optimal solutions of RA, WSRA, and SRA. Denoting these costs with c_{RA} , c_{WS} , and c_S , respectively, we have $c_{WS} - c_{RA} \in O(1)$, and $c_S - c_{RA} \in \Omega(n)$. In other words, this means that the requirement for weak symmetry has only a marginal effect on the energy cost of the range assignment, while it eases significantly the integration of topology control mechanisms with existing higher-level protocols (e.g., routing). On the other hand, imposing the stronger requirement of symmetry incurs a considerable additional energy cost. Overall, we can conclude that weak symmetry is a desirable property of the range assignment.

Calinescu et al. [2002] introduce two polynomial approximation algorithms for WSRA which improve on the approximation ratio of 2, previously known.⁵ The first algorithm has an approximation ratio of $1 + \ln 2 \approx 1.69$, while the second, which is more computationally efficient, has an approximation ratio of $\frac{15}{8}$. These ratios have been recently improved for any positive constant ϵ to $\frac{5}{3} + \epsilon$, and to $\frac{11}{6}$, respectively [Althaus et al. 2003]. Further, the authors of Althaus et al. [2003] present an exact branch and cut algorithm for solving WSRA based on a new integer linear program formulation of the problem. Experimental results show that the branch and cut algorithm solves instances with up to 35-40 nodes (with randomly generated positions) in 1 hour. Most importantly, the experimental results show that the average improvement of the exact solution over RA_{MST} , which can be easily calculated, is in the range 4–6%. This means that the average case approximation ratio of RA_{MST} is much smaller than the worst case ratio of 2.

The problem of ensuring k -connectivity (i.e., fault-tolerance) of the communication graph has also been considered in the literature. It was first studied in Loyd et al. [2002] in the weakly symmetric version when $k = 2$, and further analyzed in Calinescu and Wan [2003]. In particular, Calinescu and Wan prove that the weakly symmetric version of the problem, with $k = 2$ is NP-hard, and they provide approximation algorithms for both the weakly symmetric and asymmetric version of the problem.

5.2.2. Minimum Energy Unicast and Broadcast

5.2.2.1. Unicast. In the previous Section, the emphasis was on finding a range assignment that generates a connected topology of minimum energy cost. Another branch of research focused on com-

puting topologies which have energy-efficient paths between potential source-destination pairs. More specifically, the following problem has been considered (see Li et al. [2002] and Rajaraman [2002]).

Let G be the communication graph obtained when all the nodes transmit at maximum power (the *maxpower graph*), and assume G is connected. Every edge (u_i, u_j) in G is weighted with the power δ_{u_i, u_j}^α needed to transmit a message between u_i and u_j . Given any path $P = u_1, u_2, \dots, u_k$ in G , the *power cost* of P is defined as the sum of the power costs of the single edges, that is, $pc(P) = \sum_{i=1}^{k-1} \delta_{u_i, u_{i+1}}^\alpha$. Let $pc_G(u, v)$ denote the minimum of $pc(P)$ over all paths P that connect nodes u and v in G . A path in G connecting u and v and consuming the minimum power $pc_G(u, v)$ is called a *minimum-power path* between u and v . Let G' be an arbitrary subgraph of G . The *power stretch factor* of G' with respect to G is the maximum over all possible node pairs of the ratio between the cost of the minimum-power path in G' and in G . Formally, $\rho_{G'} = \max_{u, v \in N} \frac{pc_{G'}(u, v)}{pc_G(u, v)}$.

The power stretch factor is a generalization of the concept of *distance stretch factor* which is well known in computational geometry. Another similar concept is the *hop stretch factor*, which measures the ratio of the hopcounts rather than that of power or distance.

In general, we would like to identify a subgraph G' (also called a *routing graph* in the following) of the maxpower graph G which has a low-power stretch factor and which is sparser than the original graph. The routing graph can be used to compute routes between nodes with the guarantee that the power needed to communicate along these routes is almost minimal. The advantage of using G' instead of G is that computing the optimal routes in G' is easier than in G and generates little message overhead, and that a sparse communication graph requires little maintenance in the presence of node mobility.

Given the maxpower graph G , the problem of computing a subgraph G' with

⁵It can be easily observed that the RA_{MST} range assignment used in Kirousis et al. [2000] to approximate RA within a factor of 2 is weakly symmetric. This observation has been used in Blough et al. [2002] to prove that $c_{WS} - c_{RA} \in O(1)$.

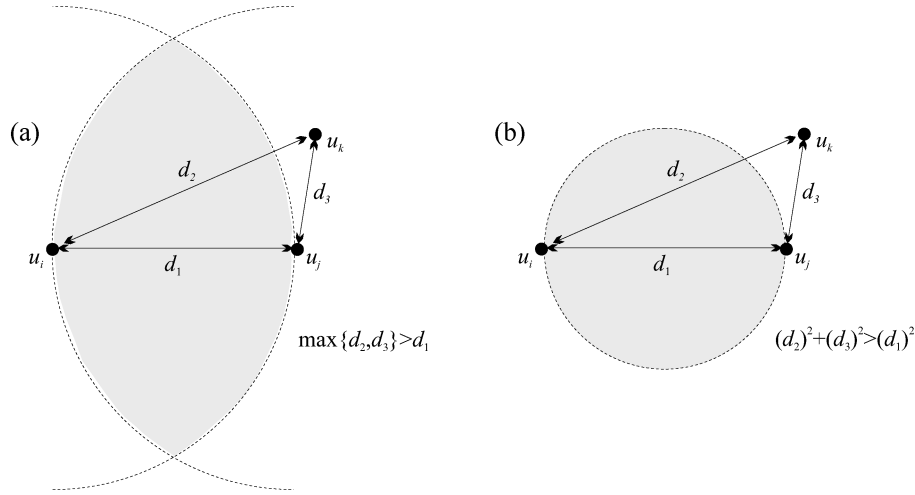


Fig. 4. Edges in the relative neighborhood graph (left) and in the gabriel graph (right).

low-power stretch factor has been widely studied in the literature. Ideally, the routing graph should have the following features:

- (a) constant power stretch factor, that is, $\rho_{G'} \in O(1)$. Using the terminology of geometric graphs, G' should be a *power spanner* of G ;
- (b) linear number of edges, in other words, G' should be *sparse*;
- (c) bounded node degree, and
- (d) easily computable in a distributed and localized fashion. By localized, we mean that every node should be able to compute the set of its neighbors in G' using only information provided by its neighbor nodes in G .

Property (a) ensures that the routes calculated on G' are at most a constant factor away from the energy-optimal routes. Property (b) eases the task of finding routes in G' and of maintaining the routing graph in the presence of node mobility, and it reduces the routing overhead. The requirement of bounded node degree is motivated by the fact that nodes with a high degree are likely to be bottlenecks in the communication graph. Finally, property (d) is fundamental for a fast and effective computation of the routing graph in a real wireless ad hoc network.

Several routing graphs that satisfy some of the previous requirements have been proposed in the literature. Most of them are based on subgraphs of G which have been shown to be good distance spanners. In fact, it can be easily seen that, if a subgraph G' is a distance spanner of graph G , then it is also a power spanner of G (note that the reverse implication, in general, is not true). Thus, the considerable body of research devoted to distance spanners in computational geometry can be used to design good routing graphs.

The following geometric graphs have been considered in the literature.

Definition 5.6 Let N be a set of points in the Euclidean two-dimensional space.

The Relative Neighborhood Graph (RNG) of N has an edge between two nodes u_i and u_j if there is no node u_k such that $\max\{\delta_{u_i, u_k}, \delta_{u_j, u_k}\} \leq \delta_{u_i, u_j}$ (see Figure 4(a)).

The Gabriel Graph (GG) of N has an edge between two nodes u_i and u_j if there is no node u_k such that $\delta_{u_i, u_k}^2 + \delta_{u_j, u_k}^2 \leq \delta_{u_i, u_j}^2$; in other words, $(u_i, u_j) \in GG(N)$ if and only if the disk obtained using $\overline{u_i u_j}$ as its diameter does not contain any node from N (see Figure 4(b)).

The Delaunay Graph (DG) of N is the unique triangulation such that the

circumcircle of every triangle contains no points of N in its interior;

The Yao Graph (YG) of N of parameter c for any integer $c \geq 6$ is denoted YG_c , and is defined as follows. At each node $u_i \in N$, any c equally separated rays originated at u_i define c equal cones. In each cone, choose the shortest directed edge $(u_i, u_j) \in G$, if any, and add the correspondent directed edge in YG_c . If we add the reverse directed link from u_j to u_i , we obtain the Reverse Yao Graph. If we ignore the direction of edges, we have the Undirected Yao Graph.

Note that, in general, the DG of a set of points may include edges much longer than the maximum node transmitting range. For this reason, a restricted version of DG has been introduced in Gao et al. [2001] in which a limit on the maximum edge length is imposed. We denote the restricted DG graph of a set of points N with $RDG(N)$.

The graphs defined are called *proximity graphs* since the set of neighbors of any node u in the computed graph can be calculated based on the position of the neighbor nodes in the original graph. Thus, proximity graphs satisfy property(d).

The following relationships between proximity graphs have been proven [Goodman and O'Rourke 1997; Li et al. 2002]: for any set of points N , $RNG(N) \subseteq GG(N)$, and $RNG(N) \subseteq YG_c(N)$, for any $c \geq 6$. Furthermore, $MST(N)$ is contained in $RNG(N)$, $GG(N)$, $DG(N)$ and $YG_c(N)$, for any $c \geq 6$.

The distance stretch factor, the power stretch factor, and the maximum node degree of the proximity graphs defined previously have been analyzed in Gao et al. [2001], Li et al. [2002], and Wang et al. [2003] and are reported in Table I. As shown, the Gabriel Graph is energy-optimal since it has a power stretch factor of 1.

All the graphs defined previously have been shown to be sparse which implies that they have a constant average node degree. However, the maximum node degree is not constant in any of the considered graphs. For this reason, several variants of these proximity graphs have

Table I. Distance Stretch Factor, Power Stretch Factor, and Maximum Node Degree of Different Proximity Graphs

	Distance	Power	Degree
RNG	$n - 1$	$n - 1$	$n - 1$
GG	$\sqrt{n - 1}$	1	$n - 1$
RDG	$\frac{1+\sqrt{5}}{2}\pi$	$\left(\frac{1+\sqrt{5}}{2}\pi\right)^\alpha$	$\Theta(n)$
YG_c	$\frac{1}{1-2\sin\frac{\pi}{c}}$	$\frac{1}{1-(2\sin\frac{\pi}{c})^\alpha}$	$n - 1$

been proposed with the purpose of bounding the maximum node degree. Unfortunately, it has been shown that no geometric graph with constant node degree contains the minimum power path for any pair of nodes [Wang et al. 2002]. Thus, no energy-optimal spanner with a constant bounded maximum node degree exists. To date, the routing graph with constant maximum node degree which has the best power stretch factor is the *OrdYaoGG* graph of Song et al. [2004] which is obtained by building the YG_c graph, with $c > 6$, on top of the GG . The *OrdYaoGG* graph has a power stretch factor of $\rho = \frac{1}{1-(2\sin\frac{\pi}{c})^\alpha}$, and maximum node degree of $c + 5$, where $c > 6$ is the parameter of the Yao graph. For example, setting $c = 9$ and $\alpha = 2$, we have a power stretch factor of 1.88 with a bound on the maximum node degree of 14.

5.2.2.2. Broadcast. Another relevant problem that has been considered in the literature is the determination of energy-efficient *broadcast graphs*. Here, the emphasis is on the one-to-all communication scheme typical of broadcast rather than on point-to-point communications.

Similarly to the case of unicast, the concept of *broadcast stretch factor* can be defined. More precisely, let us consider a connected maxpower graph G . Any broadcast generated by node u can be seen as a directed spanning tree T , rooted at u , which we call a *broadcast tree*. The power cost of the broadcast tree T is defined as follows. Denoting with $pc_T(v)$ the power consumed by node v to broadcast the message along T , we have that $pc_T(v) = 0$ for any leaf node of T , and $pc_T(v) = \max_{(v,w) \in T} \delta_{v,w}^\alpha$ otherwise. Thus, the total power needed to broadcast the message along the broadcast tree T is

$pc(T) = \sum_{v \in N} pc_T(v)$. A tree in G rooted at u and consuming the minimum power is called a *minimum-power broadcast tree* of u . Let G' be an arbitrary subgraph of G . The *broadcast stretch factor* of G' with respect to G is the maximum over all possible nodes of the ratio between the cost of the minimum-power broadcast tree in G' and in G . Formally, $\beta_{G'} = \max_{u \in N} \frac{pc_{G'}(u)}{pc_G(u)}$, where $pc_{G'}(u)$ and $pc_G(u)$ denote the cost of the minimum-power broadcast tree of u in G' and in G , respectively.

As in the case of unicast, the goal is to find sparse broadcast spanners⁶ that can be computed in a distributed and localized fashion. Unfortunately, this task is more difficult than in the case of unicast.

The problem of computing a minimum-power broadcast tree rooted at a node u has been proven to be NP-hard independently in Cagali et al. [2002] and Liang [2002], under the hypothesis that nodes can transmit at different power levels $P = \{p_1, \dots, p_k\}$, where the p_i are arbitrary power levels, and k is an arbitrary positive constant. Thus, the task of finding the energy-optimal broadcast tree of a given communication graph G is virtually impossible in any realistic scenario.

Wieselthier et al. [2000] introduce three greedy heuristics for the minimum-power broadcast problem based on the construction of the MST and evaluate them by means of simulation. The broadcast stretch factor of the graphs generated by these heuristics are formally derived in Wan et al. [2002] where it is shown that the MST has a constant broadcast stretch factor c for some $6 \leq c \leq 12$. Thus, the MST is a broadcast spanner of the original graph. Unfortunately, the construction of the MST, as well as of the other graphs proposed in Wieselthier et al. [2000], requires global information which can be a major difficulty in implementing it in a real ad hoc network. In order to circumvent this problem, Li et al. [2004] have recently proposed a localized, fully distributed algorithm called $LMST_k$ that builds a

local approximation of the MST. $LMST_k$ requires exchanging $O(n)$ messages (although the hidden constant is larger than 225), and builds a $O(n^{\alpha-1})$ approximation of the energy-optimal broadcast tree. Thus, $LMST_k$ cannot be used to compute a broadcast spanner of G . To date, no distributed and localized algorithm that constructs a broadcast spanner is known.

Before ending this section, we want to outline the similarities between the range assignment problem discussed in Section 5.2.1 and the problem of energy-efficient broadcast. Suppose G is the maxpower graph on the set of points N . In the RA problem, the goal is to find the energy-optimal range assignment that generates a connected communication graph. Suppose an arbitrary node $u \in N$ wants to broadcast a message m , and let RA be the optimal range assignment. A very simple broadcast scheme is the following. Node u transmits m at distance $RA(u)$, and every other node v , upon receiving m for the first time, retransmits it at distance $RA(v)$. It is immediate that, after all nodes in N have transmitted the message once, m has been broadcast to all network nodes. Thus, the energy cost of RA is an upper bound to the power cost of any broadcast tree in G . We recall that the energy cost of the optimal range assignment (and of the optimal weakly-symmetric range assignment) differs from the cost of the MST at most by factor 2. Since the MST is a broadcast spanner of G , this implies that the communication graph generated by the optimal (weakly-symmetric) range assignment is a broadcast spanner of G . Unfortunately this does not help very much since computing this graph in two and three-dimensional networks is NP-hard.

5.2.3. Distributed Topology Control Protocols. In Sections 5.2.1 and 5.2.2, we have reviewed several problems related to energy-efficient communication in wireless ad hoc networks. In these approaches to TC, it was assumed that exact node positions are known (location-based

⁶A subgraph G' of graph G is a *broadcast spanner* of G if it has $O(1)$ broadcast stretch factor.

topology control), and the problem is one of finding a range assignment (and, thus, a network topology) which is optimal with respect to a certain measure. Hence in these approaches, the emphasis is on the quality of the topology produced rather than on the process of building the topology itself. Another branch of research focused on more practical approaches to the TC problem, trying to design simple, fully distributed protocols that build and maintain a reasonably good topology. We call these protocols *topology control protocols*.

Ideally, a topology control protocol should be fully distributed, asynchronous, and localized. As discussed previously, these requirements are vital for an effective implementation of the protocol, especially in the presence of node mobility. Another aspect to be considered is the quality of the information needed by the topology control protocol. In general, there is a trade-off between information quality and energy consumption and/or interference reduction: the more accurate the information required (e.g., exact node positions), the more energy savings/interference reductions can be achieved. However, the price to be paid (in terms of additional hardware on the nodes or of additional messages to be exchanged) to obtain high quality information must be carefully considered. For example, suppose protocol P_1 is based on location information, and protocol P_2 is based on distance estimation. Clearly, the cost of implementing P_2 in a real network is lower than that required by P_1 since the hardware needed to estimate distance between nodes is cheaper than that required to estimate node positions. So, if the energy savings provided by protocol P_1 are not considerably higher than those achieved by P_2 , a solution based on protocol P_2 may be preferable in practice.

Summarizing, a topology control protocol should:

- be fully distributed and asynchronous;
- rely on local information only;
- generate a connected topology (at least

with high probability) composed of bidirectional links⁷;

- rely on low quality information.

5.2.3.1. Location-Based TC Protocols. In Rodoplu and Meng [1999], the authors presented a distributed topology control algorithm that leverages on location information (provided by low-power GPS receivers) to build a topology that is proven to minimize the energy required to communicate with a given master node. In Li and Wan [2001], the authors described a more efficient implementation of the protocol which, however, computes only an approximation of the minimum energy topology.

In Ramanathan and Rosales-Hain [2000], the authors considered the problem of minimizing the maximum of node transmitting ranges while achieving connectedness. They also considered the stronger requirement of 2-connectivity of the communication graph. They present centralized topology control algorithms that provide the optimal solution for both versions of the problem. The range assignment returned by the algorithm has the additional property of being per-node minimal, that is, no transmitting range can be reduced further without impairing connectivity (or 2-connectivity).

In Li et al. [2003], the authors introduced LMST, a fully distributed and localized protocol aimed at building an MST-like topology. The authors show that (1) the protocol generates a strongly connected communication graph; (2) the node degree of any node in the generated topology is at most 6; and (3) the topology can be made symmetric by removing asymmetric links without impairing connectivity. Furthermore, the authors show through simulation that LMST outperforms CBTC (see the following) and the protocol of Rodoplu and Meng [1999] in terms of both average node degree and average node transmitting range. A drawback of LMST is that it requires location information that can be provided only with a considerable

⁷The motivation for using bidirectional links is given in Section 5.2.1.

hardware and/or message cost. Recently, some of the authors of LMST introduced a fault-tolerant version of this algorithm which generates a k -connected topology [Li and Hou 2004].

5.2.3.2. Direction-Based TC Protocols. In Wattenhofer et al. [2001], the authors introduced a distributed topology control protocol based on directional information, called CBTC (Cone Based Topology Control). The basic idea is similar to the one inspiring the Yao graph YG: a node u transmits with the minimum power $p_{u,\rho}$ such that there is at least one neighbor in every cone of angle ρ centered at u . The obtained communication graph is made symmetric by adding the reverse edge to every asymmetric link. The authors show that setting $\rho \leq 2\pi/3$ is a sufficient condition to ensure connectivity. A set of optimizations aimed at pruning energy-inefficient edges without impairing connectivity (and symmetry) is also presented. Further, the authors prove that, if $\rho \leq \pi/2$, every node in the final communication graph has degree of at most 6. A more detailed analysis of CBTC, along with an improved set of optimizations (which, however, rely on distance estimation), can be found in Li et al. [2001]. The CBTC protocol has been extended to the case of nodes in the three-dimensional space in Bahramgiri et al. [2002]. The authors of Bahramgiri et al. [2002] also presented a fault-tolerant version of the protocol that guarantees k -connectivity. In Huang et al. [2002], the CBTC protocol is implemented using directional antennas.⁸

In Borbash and Jennings [2002], the authors introduced a distributed protocol which is also based on directional information. The goal of the protocol is to build the Relative Neighbor Graph of the network in a distributed fashion. The choice of the RNG as the target graph of the protocol is due to the fact that it guarantees connectivity, and it shows good performance in terms of average transmitting range, node degree, and hop diameter.

⁸Directional antennas have the ability to propagate the radio signal only in specific directions.

5.2.3.3. Neighbor-Based TC Protocols. Another class of topology control protocols is based on the simple idea of connecting each node to its k closest-neighbors.

The MobileGrid protocol of Liu and Li [2002] and the LINT protocol of Ramanathan and Rosales-Hain [2000] try to keep the number of neighbors of a node within a low and high threshold centered around an optimal value. When the actual number of neighbors is below (above) the threshold, the transmitting range is increased (decreased), until the number of neighbors is in the proper range. However, for both protocols no characterization of the optimal value of the number of neighbors is given and, consequently, no guarantee on the connectivity of the resulting communication graph is provided. Another problem of the MobileGrid and LINT protocols is that they estimate the number of neighbors by simply overhearing control and data messages at different layers. This approach has the advantage of generating no control message overhead but the accuracy of the resulting neighbor number estimate heavily depends on the traffic present in the network. In the extreme case, a node which remains silent is not detected by any of its actual neighbors.

The problem of characterizing the minimum value of k such that the resulting communication graph is connected (the *Critical Neighbor Number*) has been investigated in Xue and Kumar [2004] where it is shown that $k \in \Theta(\log n)$ is a necessary and sufficient condition for connectivity with high probability. Recently, Wan and Yi [2004] have improved the upper bound on the CNN for connectivity derived in Xue and Kumar [2004].

Based on Xue and Kumar's [2004] theoretical result, Blough et al. [2003] propose the k -NEIGH protocol. The goal of k -NEIGH is to keep the number of neighbors of a node equal to, or slightly below, a given value k . The communication graph that results is made symmetric by removing asymmetric edges. Given the characterization of the critical neighbor number presented in Xue and Kumar [2004], Blough et al. [2003] prove that the communication graph generated by k -NEIGH

Table II. Main Features of the Distributed Topology Control Protocols Presented in this Article

Protocol	Approach	Connectivity	Fault-Tolerance
R&M	loc-based	yes	no
LMST	loc-based	yes	yes
CBTC	dir-based	yes	yes
RNG	dir-based	yes	no
LINT/LILT	neigh-based	unknown	no
MobileGrid	neigh-based	unknown	no
KNeigh	neigh-based	w.h.p.	no
XTC	neigh-based	yes	no

when $k \in \Theta(\log n)$ is connected with high probability. From a practical viewpoint, Blough et al. [2003] show through simulation that setting $k = 9$ is sufficient to obtain connected networks with high probability for networks with n ranging from 50 to 500. Furthermore, the authors analyze the time and message complexity of the protocol and present simulation results that show that the topology generated by k -NEIGH is, on average, 20% more energy efficient than that generated by CBTC.

A protocol that shares many similarities with k -NEIGH is the XTC protocol presented in Wattenhofer and Zollinger [2004]: the neighbors of a node u are ordered according to some metric (e.g., distance or link quality), and u decides which nodes are kept as immediate neighbors in the final network topology based on a simple rule. Contrary to k -NEIGH, which achieves connectivity with high probability, XTC builds a topology which is connected whenever the maxpower communication graph is connected. To achieve this, the requirement of having an upper bound k on the number of neighbors of a node is dropped. Contrary to k -NEIGH, in XTC, a node can have as much as $n - 1$ neighbors in the final topology.

The main features of the distributed topology control protocols presented in this section are summarized in Table II.

5.3. Discussion of Energy Cost

The results of Sections 5.1 and 5.2 can be used to evaluate the potential benefit (in terms of energy cost) achieved by topology control protocols. In fact, the solution to the range assignment problem RA can be seen, at least to a certain extent, as the best possible result of the

execution of a topology control protocol. On the other hand, the critical transmitting range for connectivity considered in Section 5.1 is representative of the scenario in which only a straightforward type of topology control is feasible.

The following theorem is a consequence of the results presented in Santi and Blough [2003].

THEOREM 5.7 *Let l be a positive real number sufficiently large, and let N be a set of n nodes positioned uniformly and independently at random in $R = [0, l]^d$, with $d = 1, 2, 3$. Assume the distance-power gradient α is 2, and denote by $c_{\min}(N)$ the cost of the r -homogeneous range assignment such that r is minimum, and the resulting communication graph is connected. Then, with high probability:*

$$c_{\min}(N) = \begin{cases} O\left(\frac{l^2 \log^2 l}{n}\right) & \text{for } d = 1 \\ O(l^2 \log l) & \text{for } d = 2 \\ O(l^2 n^{1/3} \log^{2/3} l) & \text{for } d = 3. \end{cases}$$

The bounds of Theorem 5.7 can be compared to similar bounds obtained in Blough et al. [2002], Clementi et al. [1999, 2000a, 2000b], and Kirousis et al. [2000] for the range assignment problem. The following result for one-dimensional networks is an easy consequence of the results presented in Clementi et al. [2000a, 2000b] and Kirousis et al. [2000]:

PROPOSITION 5.8 *Let N be a set of n collinear points equally spaced at distance $\delta > 0$. The energy cost of the solution of RA on input N is $\Theta(\delta^2 n)$.*

Assuming that the n nodes are placed along a line of length l , the bound of

Proposition 5.8 can be restated as $\Theta(\frac{l^2}{n})$. It is not difficult to show that equally spacing nodes is the most energy-efficient placement. It follows that the energy cost of any instance (including a random one⁹) of RA is $\Omega(\frac{l^2}{n})$. Comparing this bound with the upper bound reported in Theorem 5.7 for $d = 1$, we have that the asymptotic gap between the energy cost of the optimal range assignment and that of the optimal homogeneous range assignment is at most $\log^2 l$. Hence, the asymptotic benefit of the adoption of a topology control mechanism in one-dimensional networks is at most a factor of $\log^2 l$.

Bounds on the energy cost of the solution of the random instance of RA in two and three dimensions have been obtained in Blough et al. [2002], and are $\Theta(l^2)$ for $d = 2$, and $\Theta(l^2 n^{1/3})$ for $d = 3$. By Theorem 5.7, we can conclude that the asymptotic benefit of the adoption of a topology control mechanism is at most a factor of $\log l$ in two-dimensional networks, and at most a factor of $\log^{2/3} l$ in three-dimensional networks.

The comparison of the bounds on the energy cost of the optimal solution of RA and CTR in one, two, and three-dimensional networks indicates that the benefit, expressed in terms of energy cost, of the adoption of a topology control mechanism increases with the length l of the side of the deployment region but becomes less significant for networks of higher dimension.

6. MOBILE NETWORKS

In Section 5, we have analyzed several problems related to energy-efficient communication in stationary wireless ad hoc networks. In this section, we will discuss how node mobility affects topology control in general.

The impact of mobility on topology control is twofold:

⁹Here, with random instance we mean an instance of the problem in which node positions are chosen uniformly at random in the deployment region $R = [0, l]^d$.

— *Increased message overhead.* The implementation of any distributed topology control protocol causes a certain message overhead which is due to the fact that nodes need to exchange messages in order to set the transmitting range to the appropriate value. In the case of stationary networks, the topology control protocol is, in general, executed once at the beginning of the network operational time, and then periodically to account for node join/leave. Thus, the efficiency of the protocol (expressed here in terms of message overhead) has relatively little importance, and the emphasis is more on the quality of the produced topology. In the presence of mobility, the topology control protocol must be executed frequently in order to account for the new positions of the nodes. Thus, reducing message overhead is fundamental when implementing topology control mechanisms in mobile networks (especially in the case of high mobility scenarios) even if reducing message overhead comes at the cost of a lower quality of the constructed topology.

— *Nonuniform node spatial distribution.* As it will be discussed in detail later, some mobility patterns cause a nonuniform node spatial distribution. This fact should be carefully taken into account in setting important network parameters (e.g., the critical transmitting range) at the design stage.

From this discussion, it is clear that the impact of mobility on the effectiveness of topology control techniques heavily depends on the mobility pattern. For this reason, we first present the mobility models which have been considered in the literature.

6.1. Mobility Models

The most widely used mobility model in the ad hoc network community is the random waypoint model [Johnson and Maltz 1996]. In this model, every node chooses uniformly at random a destination in $[0, l]^d$ (the *waypoint*) and moves towards

it along a straight line with a velocity chosen uniformly at random in the interval $[v_{min}, v_{max}]$. When it reaches the destination, it remains stationary for a predefined pause time t_{pause} , and then it starts moving again according to the same rule.

A similar model is the random direction model [Bettstetter 2001; Royer et al. 2001] in which nodes move with the direction chosen uniformly in the interval $[0, 2\pi]$ and the velocity chosen uniformly at random in the interval $[v_{min}, v_{max}]$. After a randomly chosen time taken usually from an exponential distribution, the node chooses a new direction. A similar procedure is used to change velocity, using an independent stochastic process.

Contrary to the case of the random waypoint and the random direction model which resemble (at least to some extent) intentional motion, the class of Brownian-like mobility models resembles nonintentional movement. For example, in the model used in Blough et al. [2002], mobility is modeled using parameters p_{stat} , p_{move} , and m . Parameter p_{stat} represents the probability that a node remains stationary during the entire simulation time. Hence, only $(1 - p_{stat})n$ nodes (on the average) will move. Introducing p_{stat} into, the model accounts for those situations in which some nodes are not able to move. For example, this could be the case when sensors are spread from a moving vehicle, and some of them remain entangled, for example, in a bush or tree. This can also model a situation where two types of nodes are used, one type that is stationary and another type that is mobile. Parameter p_{move} is the probability that a node moves at a given step. This parameter accounts for heterogeneous mobility patterns in which nodes may move at different times. Intuitively, the smaller the value of p_{move} , the more heterogeneous the mobility pattern is. However, values of p_{move} close to 0 result in an almost stationary network. If a node is moving at step i , its position in step $i + 1$ is chosen uniformly at random in the square of side $2m$ centered at the current node location. Parameter m models, to a certain extent, the velocity of the nodes: the larger m is, the more likely it is that a

node moves far away from its position in the previous step.

Observe that, in the case of random direction or Brownian-like motion, nodes may, in principle, move out of the deployment region. Since a standard approach in simulations is to keep the number of network nodes constant, we need a so-called *border rule* [Bettstetter 2001] that defines what to do with nodes that are about to leave the deployment region. In this situation, a node can be:

- (1) bounced back according to some rule;
- (2) positioned at the point of intersection of the boundary with the line connecting the current and the desired next position;
- (3) wrapped around to the other side of the region which is considered as a torus;
- (4) deleted, and a new node initialized according to the initial distribution;
- (5) forced to choose another position until the chosen position is inside the boundaries of the deployment region.

Depending on the choice of the border rule, nonuniformity in the node spatial distribution can be produced. For example, the second rule described places nodes exactly on the boundary of the region with higher probability than at other points. In fact, the only two rules that do not appear to favor one part of the region over another are the torus rule (3) and rule (5) one in which a node is eliminated when it would cross the boundary and a new node is created in its place. However, these rules appear quite unrealistic and are used mainly to artificially generate a more uniform node spatial distribution.

For a more exhaustive survey of mobility models in wireless networks, the reader is referred to Bettstetter [2001] and Camp et al. [2002].

6.2. Homogeneous Topology Control

If deriving analytical results for stationary networks is difficult, deriving theoretical results regarding mobile ad hoc networks is even more challenging, even in the simpler case of topology control,

that is, in case of homogeneous range assignment.

When the range assignment is homogeneous, the message overhead is not an issue since the nodes' transmitting range is set at the design stage, and it cannot be changed dynamically. However, the node spatial distribution generated by the mobility model could be an issue. For instance, it is known [Bettstetter 2001; Bettstetter and Krause 2001; Bettstetter et al. 2003; Blough et al. 2002] that the random waypoint model generates a node spatial distribution which is independent of the initial node positions and in which nodes are concentrated in the center of the deployment region. This phenomenon, which is known as the *border effect*, is due to the fact that, in the random waypoint model, a node chooses a uniformly distributed destination point rather than a uniformly distributed angle. Therefore, nodes located at the border of the region are very likely to cross the center of the region on their way to the next waypoint. The intensity of the border effect mainly depends on the pause time t_{pause} . In fact, a longer pause time tends to increase the percentage of nodes that are resting at any given time. Since the starting and destination points of a movement are chosen uniformly in $[0, l]^d$, this implies that a relatively long pause time generates a more uniform node spatial distribution.

An immediate consequence of the fact that the node spatial distribution in the presence of mobility is, in general, nonuniform is that results concerning the critical transmitting range in stationary networks (which are based on the uniformity assumption) cannot be directly used. For this reason, the relationship between the critical transmitting range with and without mobility must be carefully investigated.

Sanchez et al. [1999] analyze the probability distribution of the critical transmitting range in the presence of different mobility patterns (random waypoint, random direction, and Brownian-like) through simulation. The simulation results seem to indicate that the mobility pattern has little influence on the distribution of the critical transmitting range.

Unfortunately, the significance of the findings of Sanchez et al. [1999] is partly impaired by the fact that the toroidal border rule is used in simulations and that the values of the mobility parameters used in the experiments (such as t_{pause} in the random waypoint model) are not reported.

Santi and Blough [2003, 2002] investigate the relationship between the critical transmitting range in stationary and in mobile networks through extensive simulation. They consider random waypoint and Brownian-like motion and analyze different critical values for the node transmitting range that are representative of different requirements on network connectivity (for instance, connectivity during 100% and 90% of the simulation time). The simulation results show that a relatively modest increase of the transmitting range with respect to the critical value in the stationary case is sufficient to ensure network connectivity during 100% of the simulation time. The increase is about 21% in the random waypoint and about 25% in the Brownian-like model. Furthermore, the simulation results show that the transmitting range can be considerably reduced (in the order of 35–40%) if the requirement for connectivity is only on 90% of the simulation time.

Further insights into the relationship between the stationary and mobile critical transmitting range can be derived from the statistical analysis of the node spatial distribution of mobile networks reported in Blough et al. [2002]. Again, the authors consider random waypoint and Brownian-like mobility and perform several statistical tests on the node spatial distribution generated by these models. The results of these tests show that the distribution generated by Brownian-like motion is virtually indistinguishable from the uniform distribution and confirm the occurrence of the border effect in random waypoint motion, whose intensity heavily depends on the value of t_{pause} . In the extreme case of $t_{pause} = 0$, the random waypoint model generates a node spatial distribution which is considerably different from uniform. Overall, the analysis of Blough et al. [2002] indicate that Brownian-like mobility should

have little influence on the value of the critical transmitting range, while the effect of random waypoint mobility on the critical transmitting range should heavily depend on the settings of the mobility parameters.

The quality of the observation above is confirmed by the probabilistic analysis reported in Santi [2005] which is, to the best of our knowledge, the only theoretical result concerning the critical transmitting range in the presence of mobility reported in the literature so far. Denoting with r and r_m^p the critical transmitting range in the case of uniformly distributed nodes and of random waypoint mobile networks with $t_{\text{pause}} = p$, respectively, and with $v = v_{\text{min}} = v_{\text{max}}$ the node velocity, the author shows that

$$\frac{r_m^p}{r} = \frac{p + \frac{0.521405}{v}}{p} > 1$$

if $p > 0$, and that $\frac{r_m^p}{r} \rightarrow \infty$ otherwise (asymptotically, as $n \rightarrow \infty$). The author validates this result through simulations, whose results show an interesting threshold phenomenon: for small values of n ($n \leq 50$), r_m^p is less than r , while for larger value of n the situation is reversed. This phenomenon is caused by the border effect induced by random waypoint mobility which tends to concentrate nodes in the center of the deployment region. When n is small, the probability of finding at least one node close to the border is very low, and the critical transmitting range is smaller than in the stationary case. However, when n is large enough, some of the nodes actually lie close to the border of the deployment region, forcing a higher value of r_m^p .

6.3. Nonhomogeneous Topology Control

In the case of nonhomogeneous topology control, the more relevant effect of mobility is the message overhead generated to update the nodes' transmitting range in response to node mobility. The amount of this overhead depends on the frequency with which the reconfiguration protocol used to restore the desired network topol-

ogy is executed. In turn, this depends on several factors such as the mobility pattern and the properties of the topology generated by the protocol. To clarify this point, let us consider two topology control protocols P_1 and P_2 . Protocol P_1 builds the MST in a distributed fashion and sets the nodes' transmitting range accordingly, while protocol P_2 attempts to keep the number of neighbors of each node below a certain value k as in the k -NEIGH protocol of Blough et al. [2003]. Protocol P_1 is based on global and very precise information, since the MST can be built only if the exact position of every node in the network is known. In principle, P_1 should be reconfigured every time the relative position of any two nodes in the network changes since this change could cause edge insertion/removal in the MST. On the other hand, P_2 can be easily computed in a localized fashion and can be implemented using relatively inaccurate information such as distance estimation. In this case, the protocol should be reexecuted only when the relative neighborhood relation of some node changes. It is quite intuitive that this occurs less frequently than edge insertion/removal in the MST. It should also be observed that having a topology that is not up-to-date is much more critical in the case of the MST than in case of the k -neighbors graph. In fact, a single edge removal in the MST is sufficient to disconnect the network, while several edges can, in general, be removed from the k -neighbors graph without impairing connectivity. Overall, we can reasonably state that P_1 should be reexecuted much more frequently than P_2 . Further, we observe that the reconfiguration procedure needed to maintain the MST is more complicated than that required by the k -neighbors graph since it relies on global information. So, we can conclude that protocol P_1 is not suitable to be implemented in a mobile scenario; in other words, it is not *resilient to mobility*.

From the previous discussion, it is clear that a mobility resilient topology control protocol should be based on a topology which can be computed locally and which requires little maintenance in the

presence of mobility. Many of the topology control protocols presented in the literature meet this requirement. However, only some of them have been defined to explicitly deal with node mobility.

In Li et al. [2001], an adaptation of the CBTC protocol to the case of mobile networks is discussed. It is shown that, if the topology ever stabilizes and the reconfiguration protocol is executed, then the network topology remains connected. The reconfiguration procedure is adapted to the case of k -connectivity in Bahramgiri et al. [2002].

In Rodoplu and Meng [1999], the authors discuss how their protocol can be adapted to the mobile scenario and evaluate the protocol power consumption in the presence of a mobility pattern which resembles the random direction model.

The MobileGrid [Liu and Li 2002] and LINT [Ramanathan and Rosales-Hain 2000] protocols, which are based on the k -neighbors graph, are explicitly designed to deal with node mobility. They are zero-overhead protocols since the estimation of the number of neighbors is based on the overhearing of data and control traffic. However, no explicit guarantee on network connectivity is given and only simulation results are reported by the authors.

A more subtle effect of mobility on certain topology control protocols is due to the possibly nonuniform node spatial distribution generated by the mobility pattern. This fact should be considered in setting fundamental protocol parameters such as the critical neighbor number in k -neighbors graph-based protocols [Blough et al. 2002; Liu and Li 2002; Ramanathan and Rosales-Hain 2000]. In other words, it could be the case that the number of neighbors k needed to obtain connectivity with high probability in the presence of uniform node distribution is significantly different from the value k_m needed when the node distribution is nonuniform, such as in the presence of random waypoint mobility. Clearly, if nodes are expected to move with random waypoint-like mobility, k_m must be used instead of k in the protocol implementation.

7. OPEN ISSUES

Topology control has received increasing attention in the wireless ad hoc network community in recent years, as witnessed by the considerable body of research in this field reported in this article. However, several aspects related to topology control have not been carefully investigated yet. In this final section, we outline some of them which we hope will motivate researchers to undertake additional studies on this field.

TC for Interference. As stated in the introduction, topology control techniques have the potential to mitigate two important problems occurring in wireless ad hoc networks: node energy consumption and radio interference. Although the acknowledged advantages of TC are twofold, current literature on this topic focused solely on reducing energy consumption. Only very recently have some authors investigated the topology control problem with the goal of reducing radio interference. Burkhart et al. [2004] show that reducing energy consumption and interference might be conflicting goals and present centralized and distributed algorithms to build low-interference topologies. Moaveni-Nejad and Li [2005] consider several measures of radio interference in the communication graph and propose algorithms for building optimal or near-optimal topologies according to these metrics. However, the studies presented in Burkhart et al. [2004] and Moaveni-Nejad and Li [2005] are only initial steps towards a thorough understanding of the interrelationship between range assignment and level of interference generated in the network and further research on this topic is needed.

More Realistic Models. The point graph model used to derive most of the results presented in this article is an idealized model of a real ad hoc network. Although point graphs have proven useful to derive qualitative results, they can hardly be used to obtain the accurate quantitative information needed by the network designer. So, the need for a more realistic network model is urgent.

There are several ways in which the point graph model can be modified in order to be more realistic. For instance, we could define the occurrence of links between nodes in probabilistic rather than deterministic terms. A possible model could be the following. Given nodes u and v at distance $\delta_{u,v}$, we have a link between u and v with probability 1 if $\delta_{u,v} \leq \bar{\delta}$, where $\bar{\delta}$ is an arbitrary constant, and with probability $p(\delta_{u,v}) < 1$ otherwise, where $p(\delta_{u,v})$ is an arbitrary decreasing function of the distance with values in $[0, 1]$. This characterization of the occurrence of a wireless link is far more realistic than the 1/0 characterization used in the point graph model. For example, there could exist nodes u, v, w with $\delta_{u,v} = \delta_{u,w} > \bar{\delta}$ such that link (u, v) exists and link (u, w) does not. Thus, the radio coverage area is, in general, not regular as is the case in real wireless networks. Radio link models similar to the one described previously have been introduced in Faragó [2002] and Booth et al. [2003]. In particular, Booth et al. study network connectivity under this more realistic link model and argue that the characterization of the critical range for connectivity based on the assumption of circular coverage area can be seen as a worst-case analysis provided the (possibly irregular) area covered by the radio signal remains the same.

Another possibility to make the network model more realistic is to take into account interferences between nodes. For example, in Dousse et al. [2003] a bidirectional link between nodes u and v exists if the signal to noise ratio at the receiver is larger than some threshold where the noise is the sum of the contribution of interferences from all other nodes and of a background noise. The authors analyze the impact of such a wireless link model on network connectivity.

Note that there is another major driver for more realistic network models, namely the usage of link-layer retransmission protocols. In fact, it turns out that it usually pays off in term of minimal overall energy consumption in the presence of retransmissions to use connections at the boundary of the radio coverage area where

the packet loss probability is below 1 but greater than 0. This fact, which has been observed in Seada et al. [2004], should be accounted for in the design of topology control mechanisms.

Although some research on the characterization of fundamental network properties with a more realistic link model has been recently done, further investigation in this direction is needed.

More Realistic Node Distribution. A simplifying assumption commonly used in the analysis of ad hoc networks is that nodes are uniformly distributed in the deployment region. Although this assumption seems reasonable in some settings, it is quite unrealistic in many scenarios. For instance, as discussed earlier, this assumption does not hold when the nodes move according to the random waypoint model. Further, when nodes are dispersed from a moving vehicle, the assumption of uniform distribution is only a rough approximation of the actual node distribution. Thus, the analysis of network properties in the presence of nonuniform node spatial distributions is another step forward in the direction of a more realistic characterization of ad hoc networks.

More Accurate Analysis of Mobile Networks. More work needs to be done to investigate the effect of mobility on topology control. In particular, the following issues need to be addressed.

— *Is mobility beneficial or detrimental?*
On the one hand, we have seen that mobility causes an increased message overhead to restore the desired topology. On the other hand, mobility has the positive effect of balancing the node energy consumption. In stationary networks, if a node u has twice the transmitting range of node v , it is likely to deplete its battery much faster than node v . In the presence of mobility, nodes change the transmitting range dynamically and a more balanced energy consumption is likely to occur. Since one of the ultimate goals of topology control is to extend network lifetime,

the overall effect of mobility on the network lifetime should be carefully investigated.

- *Determination of the optimal frequency for reconfiguration.* As outlined in Section 6, there is a trade-off between the message overhead caused by a topology control protocol and the quality of the topology generated. In general, to have a high quality topology (e.g., a connected topology), we should execute the reconfiguration protocol frequently. On the other hand, each execution of the reconfiguration protocol causes a significant message overhead. The careful investigation of this trade off would help in answering the previous issue.

Group Mobility. In most of the mobility models considered in the literature (such as the random waypoint, random direction, and Brownian-like model), nodes move independently one of each other. However, in many realistic scenarios, network nodes move in groups. This could be the case, for instance, of sensors dispersed in the ocean to monitor water temperature which are moved by ocean flows, or the case of cars on a freeway which exchange messages with the purpose of rapidly propagating information about traffic conditions. Thus, the impact of group mobility on topology control should be carefully investigated.

Implementation of TC. Despite the considerable body of research devoted to topology control presented in this article, and the many theoretical and simulation-based evidences of the effectiveness of topology control techniques in reducing energy consumption and/or increasing network capacity, to date there is little experimental evidence that topology control can actually be used to these purposes. This is perhaps the main open issue in the field.

Note that the almost complete lack of experimental results about topology control techniques is not due to technological problems as current wireless network cards (see, e.g., the CISCO Aironet 802.11 cards [Cisco 2004]) and wireless sensor

nodes allow the transmitted power to be dynamically adjusted.

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