### CSP: Communicating Sequential Processes

### Overview

- Computation model and CSP primitives
- Refinement and trace semantics
- Automaton view
- Refinement checking algorithm
- Failures Semantics

### CSP

- Communicating Sequential Processes, introduced by Hoare, 1978.
- Abstract and formal event-based language to model concurrent systems. Belong to the "Process Algebra" family.
- Elegant, with refinement based reasoning.



### References

- Quick info at Wikipedia.
- <u>Communicating Sequential Processes</u>, Hoare, Prentice Hall, 1985.

3rd most cited computer science reference ©

Renewed edition by Jim Davies, 2004.

Available free!

• Model Checking CSP, Roscoe, 1994.

### **Computation model**

- A concurrent system is made of a set of interacting processes.
- Each process sequentially produces events. Each event is atomic. Examples:
  - turnOn, turnOff, Play, Reset
  - lockAcquire, lockRelease
- Some events are internals  $\rightarrow$  not observable from outside.
- There is no notion of variables, nor data. A process is abstractly decribed by the sequences of events that it produces.

### **Computation model**

- Multiple processes can synchronize on an event, say a.
  - They will wait each other until all synchronizing processes are ready to execute a.
  - Then they will simultaneously execute a.
  - As in :

$$a \rightarrow STOP \mid\mid_{\{a\}} x \rightarrow a \rightarrow STOP$$

The 1<sup>st</sup> process will have to wait until the 2<sup>nd</sup> has produced x.

### Some notation first

- Names :
  - A,B,C → alphabets (sets of events)
  - a,b,c → events (actions)
  - ▶ P,Q,R
     → processes
- Formally for each process we also specify its alphabet, but here we will usually leave this implicit.
- $\alpha P$  denotes the alphabet of P.

### **CSP** constructs

We'll only consider simplified syntax:

```
Process ::= STOP

| Event → Process

| Process [] Process

| Process |<sup>-</sup>| Process

| Process || Process

| Process / Alphabet

| ProcessName
```

• *Process definition:* 

ProcessName "=" Process

### STOP, sequence, and recursion

- Some simple primitives :
  - STOP // as the name says
  - $a \rightarrow P$  // do a, then behave as P
- Recursion is allowed, e.g. :

 $Clock = tick \rightarrow Clock$ 

Recursion must be 'guarded' (no left recursion thus).

### Internal choice

We also have internal / non-deterministic choice: P | Q, as in :

$$R_1 = (a \rightarrow P) |^{-}| (b \rightarrow Q)$$

R<sub>1</sub> behave as either:

 $a \rightarrow P$  or  $b \rightarrow Q$ 

but the choice is decided internally by  $R_1$  itself. From outside it is as if  $R_1$  makes a non-deterministic choice.

 R<sub>1</sub> may therefore *deadlock* (e.g. the environment only offers a, but R<sub>1</sub> have decided that it wants to do b instead).

### **External choice**

Denoted by P 
 Q

Behave as either P or Q. The choice is decided by the environment.

• Ex:

$$R_2 = (a \rightarrow P) \Box (b \rightarrow Q)$$

R<sub>2</sub> behaves as either:

 $a \rightarrow P$  or  $b \rightarrow Q$ 

depending on the actions *offered* by the environment (e.g. think a,b as representing actions by a user to push on buttons).

### External choice

However, it can degenerate to non-deterministic choice:

$$R_3 = (a \rightarrow P) \Box (a \rightarrow Q)$$

### Parallel composition

Denoted by P || Q

This denotes the process that behaves as the *interleaving* of P and Q, but *synchronizing* them on  $\alpha P \cap \alpha Q$ .

Example:

$$R = (a_1 \rightarrow b \rightarrow STOP) \parallel (a_2 \rightarrow b \rightarrow STOP)$$

This produces a process that behaves as either of these :

$$a_1 \rightarrow a_2 \rightarrow b \rightarrow STOP$$
$$a_2 \rightarrow a_1 \rightarrow b \rightarrow STOP$$

(Notice the interleaving on  $a_1, a_2$  and synchronization on b).

### Hiding (abstraction)

Denoted by P / A

Hide (internalize) the events in A; so that they are not visible to the environment.

Example:

$$R = (a_1 \rightarrow b \rightarrow STOP) \parallel (a_2 \rightarrow b \rightarrow STOP)$$

$$R / \{b\} = (a_1 \rightarrow a_2) \square (a_2 \rightarrow a_1)$$

• In particular:

```
(P || Q) / (\alphaP \cap \alphaQ )
```

is the parallel composition of P and Q, and then we internalize their synchronized events.

## Specifications and programs have the same status

That is, a specification is expressed by another CSP process :

SenseoSpec =  $(1c \rightarrow 1w) \Box (2c \rightarrow 2w) \rightarrow$  SenseoSpec

 More precisely, when events not in {1c,1w,2c,2w} are abstracted away, our Senseo machine should behave as the above SenseoSpec process. This is expressed by *refinement* :



### Monotonicity

• A relation ≤ (over A) is a preorder if it is reflexive and transitive :

1. 
$$P \le P$$
2.  $P \le Q$  and  $Q \le R$  implies  $P \le R$ 

 A function F:A→A is monotonic roughly if its value increases if we increase its argument.

More precisely it is monotonic wrt to a relation  $\leq$  iff

$$P \leq Q \implies F(P) \leq F(Q)$$

Analogous definition if F has multiple arguments.

### Monotonicity & compositionality

Suppose we have a preorder  $\leq$  over CSP processes, acting as a refinement relation.

$$\varphi \leq P \rightarrow express P satisfies the specification  $\varphi$$$

• A monotonic || would give us this result, which you can use to decompose the verification of a system to component level, and avoiding, in theory, state explosion:

(note that this presumes we have the specifications of the components) So, can we find a notion of refinement such that all CSP constructs are monotonic ??

Many formalisms for concurrent systems do not have this. CSP monotonicity is mainly due to its level of abstraction.

- Idea: abstractly consider two processes to be equivalent if they generate the same traces.
- Introduce traces(P)

the set of all *finite traces* (sequences of events) that P can produce.

- E.g. traces( a → b → STOP) = { <>, <a>, <a,b> }
- Simple semantics of CSP processes
- But it is oblivious to certain things.
- Still useful to check safety.

Induce a natural notion of refinement.

- We can define "traces" inductively over CSP operators.
- **traces** STOP = { <> }
- traces  $(a \rightarrow P) = \{ \langle \rangle \} \cup \{ \langle a \rangle \land s \mid s \in traces(P) \}$

 If s is a trace, s|<sub>A</sub> is the trace obtained by throwing away events not in A.

Pronounced "s *restricted* to A".

Example :  $<a,b,b,c> | \{a,c\} = <a,c>$ 

• Now we can define:

**traces** (P/A) =  $\{ s|_{(\alpha P - A)} | s \in traces(P) \}$ 

- If A is an alphabet, A\* denote the set of all traces over the events in A. E.g. <a,b,b> ∈ {a,b}\*, and <a,b,b> ∈ {a,b,c}\*; but <a,b,b> ∉ {b}\*.
- traces (P || Q)

=

$$\label{eq:s} \left\{ \begin{array}{l} s \ \mid \ s \in (\alpha \mathsf{P} \cup \alpha \mathsf{Q})^* \ , \\ \\ s|_{\alpha \mathsf{P}} \in \mathsf{traces}(\mathsf{P}) \quad \mathsf{and} \quad s|_{\alpha \mathsf{Q}} \in \mathsf{traces}(\mathsf{Q}) \\ \end{array} \right.$$

### Example

• Consider :

$$P = a_1 \rightarrow b \rightarrow STOP \qquad // \alpha P = \{a_1, b\}$$
  

$$Q = a_2 \rightarrow b \rightarrow STOP \qquad // \alpha Q = \{a_2, b\}$$

traces(P||Q) = { <> , <a<sub>1</sub>> , <a<sub>1</sub>,a<sub>2</sub>>, <a<sub>1</sub>,a<sub>2</sub>,b>, ... }

Notice that e.g. :

$$\mid_{\alpha P} \in \mathbf{traces}(P)$$
  
 $\mid_{\alpha Q} \in \mathbf{traces}(Q)$ 

- **traces**( $P \square Q$ ) = traces(P)  $\cup$  traces(Q)
- **traces**( $P \mid | Q)$  = traces( $P \mid \cup$  traces(Q)
- So in this semantics you can't distinguish between internal and external choices.

### Traces of recursive processes

Consider

$$\mathsf{P} = (\mathsf{a} \rightarrow \mathsf{a} \rightarrow \mathsf{P}) \Box \quad (\mathsf{b} \rightarrow \mathsf{P})$$

• How to compute **traces**(P) ? According to defs:

traces(P) = { <>, }  

\$\$\cup\$\$
 {  ^ t | t  \$\in\$  traces\(P\) }  
 \$\cup\$  {  \*\*^ t | t  \$\in\$  traces\(P\) }\*\*

Define traces(P) as the smallest solution of the above equation.

 We can now define refinement as trace inclusion. Let P, Q be processes over the same alphabet:

$$P \leq Q$$
 =  $traces(P) \supseteq traces(Q)$ 

which implies that Q won't produce any 'unsafe trace' unless P itself can produce it.

- Moreover, this relation is obviously a preorder.
- Theorem:

All CSP operators are monotonic wrt this trace-based refinement relation.

### Verification

• Because specification is expressed in terms of refinement :  $\label{eq:point} \boldsymbol{\phi} \leq \boldsymbol{\mathsf{P}}$ 

verification in CSP amounts to refinement checking.

In the trace semantics it amounts to checking:

 $traces(\varphi) \supseteq traces(P)$ 

We can't check this directly since the sets of traces are typically infinite.

 If we view CSP processes as automata, we can do this checking with some form of model checking.

### Automata semantic

- Represent CSP process P with an automaton M<sub>P</sub> that generates the same set of traces.
- Such an automaton can be systematically constructed from the P's CSP description.
  - However, the resulting M<sub>P</sub> may be non-deterministic.
  - Convert it to a deterministic automaton generating the same traces
    - Comparing deterministic automata are easier as we later check refinement.
    - There is a standard procedure to convert to deterministic automaton.
- Things are however more complicated as we later look at failures semantic.

### Only finite state processes

 Some CSP processes may have infinite number of states, e.g. Bird<sub>0</sub> below:

$$Bird_0 = (flyup \rightarrow Bird_1) \square (eat \rightarrow Bird_0)$$

 $Bird_{i+1} = (flyup \rightarrow Bird_{i+2}) \square (flydown \rightarrow Bird_i)$ 



We will only consider finite state processes.



#### No distinction between ext. and int. choice





However, since in trace semantics we don't see the difference between  $\square$  and  $|^{-}|$  anyway, so for we define their automata to be the same.

Internal action, representing internal decision in choosing between a and b.

### **Converting to deterministic automaton**

"□" can still lead to an implicit non-determinism. But this should be indistinguishable in the trace semantic, so convert it to a deterministic automaton, essentially by merging end-states with common events. The transformation preserves traces.

$$P = (a \rightarrow c \rightarrow STOP) \Box \quad (a \rightarrow b \rightarrow P)$$







### Parallel comp.

$$P = a \rightarrow b \rightarrow P$$

$$Q = (b \rightarrow Q) \Box (c \rightarrow STOP)$$



#### P || Q , common alphabet is { b } :



### Checking trace refinement

- Formally, we will represent a *deterministic* automaton M by a tuple (S,s<sub>0</sub>,A,R), where:
  - S M's set of states
  - s<sub>0</sub> the initial state
  - A the alphabet (set of events) ; every transition in M is labeled by an event.
  - $R : S \rightarrow A \rightarrow pow(S)$  encoding the transitions in M.
    - *Deterministic*: R s a is either  $\emptyset$  or a singleton. Else non-deterministic.
    - "R s a = {t}" means that M can go from state s to t by producing event a.
    - "R s a =  $\emptyset$ " means that M can't produce a when it is in state s.

### Checking trace refinement

Let  $M_P = (S,s_0,A,R)$  and  $M_Q = (S,t_0,B,S)$  be deterministic (!) automata representing respectively processes P and Q; they have the same alphabet. We want to check:

 $traces(\mathsf{P}) \supseteq traces(\mathsf{Q})$ 

 For s∈S, let initials<sub>P</sub>(s) be the set of P's possible next events when it is in the state s:

 Let's construct M<sub>P</sub> ∩ M<sub>Q</sub> → contains all traces which both automata can do. Check the initials of both at each state.

### Example



### Checking trace refinement

The traces of  $M_Q$  is a subset of  $M_P$  iff for all (*s*,*t*) in  $M_P \cap M_Q$  we have :

initials<sub>P</sub>(s)  $\supseteq$  initials<sub>Q</sub>(t)

- If at some (s,t) this condition is violated → then uc is a counter example, where u is a trace that leads to the state t, and c is an event in initials<sub>Q</sub>(t) / initials<sub>P</sub>(s).
- This gives you an algorithm to check refinement → construct the intersection automaton, and check the above condition on every state in the intersection. → you can also construct it lazily.

### **Refinement Checking Algorithm**

checked =  $\emptyset$ ;

```
pending = { (s_0, t_0) };
```

```
while pending \neq \emptyset do {
```

get and remove an (s,t) from pending ;

```
if initials(s) \supseteq initials(t) then {
```

```
checked := \{(s,t)\} \cup checked \}
```

```
pending := pending

\cup

({(s',t') | (\exists a. s' \in R \ s \ a \ \land t' \in R \ t \ a)}/ checked);

else error!
```

}

### More refined semantics?

Unfortunately, in trace-based semantics these are equivalent :

$$\mathsf{P} = (\mathsf{a} \to \mathsf{STOP}) \Box (\mathsf{b} \to \mathsf{STOP})$$

- Q =  $(a \rightarrow \text{STOP}) / (b \rightarrow \text{STOP})$
- But Q may deadlock when we put it with e.g. E = a → STOP; whereas P won't.

### Refusal

• Suppose  $\alpha P = \{a,b\}$ , then:

 $P = a \rightarrow STOP$ 

will refuse to synchronize over b.

- $Q = (a \rightarrow STOP) \square (b \rightarrow STOP)$  will refuse neither a nor b.
- $R = (a \rightarrow STOP) / (b \rightarrow STOP)$

may refuse to sync over a, or b, not over both (if the env can do either a or b, but leave the choice to P).

### Refusal

- An offer to P is a set of event choices that the environment (of P) is offerring to P as the first event to synchronize; the choice is up to P.
- So we define a *refusal* of P as an offer that P may fail to synchronize (due to internal chocies P may come to a state where it can't sync over any event in the offer).
- **refusals**(P) = the set of all P's refusals.

Q = (a 
$$\rightarrow$$
 STOP)  $\Box$  (b  $\rightarrow$  STOP)refusals(Q) = {  $\varnothing$  }R = (a  $\rightarrow$  STOP) /<sup>-</sup>/ (b  $\rightarrow$  STOP)refusals(R) = {  $\emptyset$ , {a}, {b} }

### Refusals

- Assuming alphabet A
- refusals (STOP) =  $\{X \mid X \subseteq A\}$
- refusals  $(a \rightarrow P) = \{ X \mid X \subseteq A \land a \notin X \}$

refuse any offer that does not include a

### Refusals

• refusals (P [] Q) = refusals(P)  $\cap$  refusals(Q)



refusals (P |<sup>−</sup> | Q) = refusals(P) ∪ refusals(Q)

In the above example:

- may refuse ∅, {a}, {b}
- won't refuse {a,b}

### Refusals of ||

• refusals(P || Q) = { X ∪ Y | X∈refusals(P) ∧ Y∈refusals(Q) }



refusals: {b,d, x } and all its subsets

### Refusals of ||

• refusals(P || Q) = {  $X \cup Y$  |  $X \in refusals(P) \land Y \in refusals(Q)$  }



### Example

 What is the refusals of this? Assume {a,b,c} as alphabet.



### Refusals after s

• Define:

refusals(P/s) = the refusals of P after producing the trace s.

• Example, with alphabet  $\alpha P = \{a,b\}$ :

$$P = (a \rightarrow P) / / (b \rightarrow b \rightarrow STOP)$$

refusals(P/<>) = refusals(P)

refusals(P/<b>)

refusals(P/<b,b>)

- = ∅, {a}
- = all substes of  $\alpha P$

### "Failures"

Define :

Note that due to nondeterminism, there may be several possible states where P may end up after doing s.

**failures**(P) = { (s,X) /  $s \in traces(P)$  ,  $X \in refusals(P/s)$  }

(s,X) is a '*failure*' of P means that P can perform s, afterwhich it may deadlock when offered alternatives in X.

- E.g.  $(s, \alpha P) \in failures(P/s)$  means after s P may stop.
- If for all X :

$$(s,X) \in failures(P/s) \implies a \notin X$$

this implies that after s P cannot refuse a (implying progress!) .

### Example

• Consider this P with  $\alpha P = \{a,b\}$ :

$$P = (a \rightarrow STOP) |^{-}| (b \rightarrow STOP)$$

- P's failures :
  - ( $\epsilon$ , {a}) , ( $\epsilon$ , {b}) , ( $\epsilon$ ,  $\emptyset$ )
  - (a, {a,b}) ... // and other (a,X) where X is a subset of {a,b}
  - (b, {a,b}) ... // and other (b,X) where X is a subset of {a,b}
- Notice the "closure" like property in X and s.

### **Failures Refinement**

 We can use failures as our semantics, and define refinement as follows. Let P and Q to have the same alphabet.

$$P \leq Q$$
 = failures(P)  $\supseteq$  failures(Q)

- Also a preorder!
- And it implies trace-refinement, since:

traces(P) = { s |  $(s, \emptyset) \in failures(P)$  }

So, it follows that  $P \leq Q$  implies traces(P)  $\supseteq$  traces(Q).

### Back to automata again

- As before we want to use automata to check refinement.
- However now we can't just remove non-determinism, because it does matter in the failures semantic:



### Back to automata

- Still, deterministic automata are attractive because we have seen how we can check trace inclusion.
- Furthermore, in a deterministic automaton, the end-state u after producing a trace s is unique.
- Now remember that a 'failure' is a pair of (trace, refusal). Since a trace is identified uniquely by its end-state. this suggests a strategy to label the states with its refusals.
- Then we can adapt our trace-based refinement checking algorithm to also check failures.

### Example

$$P = a \rightarrow ((b \rightarrow P) \mid \bar{} \mid (a \rightarrow B))$$
$$B = b \rightarrow B$$
$$Q = a \rightarrow b \rightarrow (Q \mid \bar{} \mid STOP)$$

Assuming {a,b} as alphabet.

So, is 
$$P \leq Q$$
?





### But...

The procedure doesn't work well with e.g. :



# Normalizing CSP processes $P \square (Q \upharpoonright R) = (P \square Q) \upharpoonright (P \square R)$ $P \upharpoonright Q \square R = (P \upharpoonright Q) \square (P \upharpoonright R)$

Normalize your CSP description so that each process has this form:

$$P = (a \rightarrow Q_1) [] (b \rightarrow Q_2) [] \dots \qquad // a,b, \dots \text{ distinct}$$

$$|^-|$$

$$(e \rightarrow R_1) [] (f \rightarrow R_2) [] \dots \qquad // e,f, \dots \text{ distinct}$$

- When building the automaton representing such a process, each state either:
  - has outgoing arrows which are all tau-steps
  - has outgoing arrows which are all non-tau.

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### Example

$$P = (a \rightarrow STOP) [] ((b \rightarrow P) |^{-}| (a \rightarrow B))$$
$$B = b \rightarrow B$$

After normalizing:

$$P = ((a \rightarrow STOP) [] (b \rightarrow P))$$
$$|^{-}|$$
$$(a \rightarrow (STOP |^{-}|B))$$



### Example

So, is P≤Q, where  $Q = a \rightarrow b \rightarrow (Q |^{-}|STOP)$ ?



### Some notes

- For the sake of simplicity, the algorithm explained here deviates from the original in Roscoe:
  - It's not necessary to normalize the 'implementation' side.
  - Roscoe still normalize the specification side.
  - We also ignore "divergence".
- In the worst case, normalization may produce a process whose size is exponential wrt the original.
  - In practice it's usually not that bad.
  - Specification side is usually much simpler than the implimentation side.